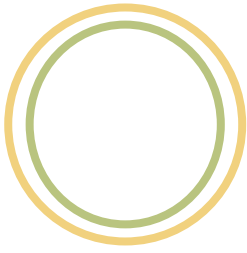




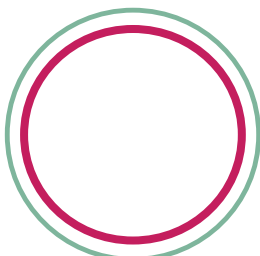
U·H·N·M
charity

Raising funds for Royal Stoke University Hospital and County Hospital, Stafford



Annual Report and Accounts

2021 - 2022



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During April 2021 to 31 March 2022, the Corporate Trustee included the following:

Voting Members



Non-Voting Members



Previous Voting Members



Message from our Chair

- Leigh Griffin



Welcome to our 2021/22 annual report and accounts for UHNM Charity. This document records just a small snapshot of the many fundraising activities and donations which have taken place during what has been another unprecedented year for everyone.

We remain immensely grateful for the thousands of gifts, donations and offers of help we receive each year. They have made a significant difference supporting our patients, visitors and staff throughout the ongoing pandemic. Donations range in size from a few pennies to thousands pounds and all are underpinned by an overwhelming desire to help us to continue to improve the care we provide for our patients. On behalf of the Board, the Charity Team, our patients, service users, carers and our staff we send our appreciation to everyone who has helped in any way to make this another successful year.

The funds and gifts we have received have supported a wide range of charitable and health related activities over the past year enhancing the experiences of our patients, service users, their carers and our staff. I hope that you enjoy reading about some of the work we have been doing, the incredible stories and the differences it has made. Like organisations across the world, as a charity we have had to do things differently and this has led to new friendships and different new offers of support. For the second year running many of our traditional Christmas in hospital celebrations became virtual. However, we did manage to gather together towards the end of the year to thank some of our charity champions and fundraisers in person. Local businesses and organisations, both small and large, went out of their way to provide thank you donations for our staff and raise money and awareness of how donations can make a real difference for patients.

Donations which are not used immediately are invested in accordance with the Charity Commission guidelines ensuring an appropriate financial return is achieved. The performance of the investments is reviewed regularly to ensure we protect the value of the portfolio until such time as they are needed to be spent. As our financial year drew to a close, we continued to be optimistic. Throughout the year the response from our local communities and our staff has been inspirational and over the coming year we want to build on the new friendships we have made to build an even stronger future for the important work of our charity.

As Chair of the Charitable Funds Committee, I offer my sincere thanks to everyone who has contributed so generously in time, energy and money to support us in making a difference for the people we serve. We look forward to working with you over the coming year as we look to advance the work we are doing to support our patients.

The financial statements and accounts on pages 18 to 31 were approved by the Board of Directors and Trustee of the Charity on **x** and signed on its behalf by David Wakefield, UHNM Chairman.

Leigh

Leigh Griffin
UHNM Charity Chair



Our Charity

UHNM Charity is the official NHS Charity for both hospitals operated by University Hospitals of North Midlands NHS Trust (UHNM) – County Hospital, Stafford, and the Royal Stoke University Hospital. As such, we touch the lives of everyone in the North Midlands and beyond, no matter their age or condition. Our wish is for our patients to receive the best care in the best possible environment.

Each year we invest in key areas such as equipment, research, patient and family support, staff training and transforming our two hospitals into more comfortable and welcoming environments. The core mission of UHNM Charity is to enhance the care and treatment of patients accessing NHS services by supporting high priority areas which are beyond the scope of government funding. We also support our staff to enable them to provide the best care possible.

The Charity's income comes from fundraising, donations, charitable grants, legacies and sponsorship. The Charity employs a small team of professional fundraising staff. UHNM Charity organises events and supports many fundraisers organising their own events or taking part in organised events, both community and hospital-based throughout the year.

In addition to the UHNM Trust magazine, the main route of communication with donors is via our dedicated website (www.uhnmcharity.org.uk), and social media:



www.facebook.com/UHNMCharity



www.twitter.com/UHNMCharity



www.instagram.com/UHNMCharity

In all our communications, we seek to update supporters about the impact that donations have made, as well as letting people know about upcoming fundraising activities.

The fundraising team would be delighted to speak to anybody who is considering taking part in an event or organising their own fundraising for UHNM Charity, and can be contacted by email

at charity@uhn.nhs.uk or by telephone at 01782 676444. We also encourage feedback from all of our supporters, sharing any learning amongst the charity team.

In setting our objectives and planning our activities the corporate trustee has given serious consideration to meeting our public benefit commitment and to the enhancement of the care of patients through:

- Enhancing the quality of patient care;
- Improving the environment for patients, visitors and staff;
- Supporting NHS staff development to enable them to provide excellent clinical and patient-centred care;
- Providing funding for pioneering developments with the potential to impact directly on the treatment and wellbeing of patients; and
- Funding state-of-the-art equipment that improves outcomes for patients.

To achieve these objectives, UHNM Charity operates a transparent grant making process. All UHNM NHS Trust staff, from across both hospitals, can apply for funding to provide additional equipment, facilities, staff training and research over and above what the NHS would normally fund. Our plans to deliver this funding include:

- Encouraging UHNM staff to submit funding applications and to identify new facilities, training, equipment that the Charity can provide. This has been achieved by Charity staff meeting with key staff and Fund Advisers and through the submission of charitable funds spending plans; and
- Raising income to provide new facilities, training and equipment by supporting patients, members of the public, UHNM staff and organisations in their fundraising.

In line with our investment policy, surplus monies not required to meet immediate commitments are placed in specialist charity investments to create additional income to achieve the charity objectives in the future.

The staff working for the Charity are employed by UHNM NHS Trust. UHNM Charity reimburses the NHS Trust for these costs.

UHNM Charity Team



Lisa Thomson
UHNM Director of Communications and Charity
Lisa is Director of UHNM Charity and represents the work of the Charity at Board and Executive level.



Steve Rushton
Head of UHNM Charity
Steve leads on developing major appeals and works with the Charity Committee and senior staff to ensure UHNM Charity continues to grow.



Lynn Oldfield
Project Manager
Lynn leads on various projects for the Charity helping to develop and deliver them ensuring maximum uptake and reach.



Joanna Walford
Grant Making Administrator
Joanna works with staff from across UHNM to advise on and process spending applications.



Becky Bate
Administrator
Becky supports the team with various admin roles and the day-to-day running of the Charity.



Sarah O'Reilly
Fundraising Officer
Sarah makes sure everyone who is fundraising for us is processed and supported.



James Wood
Communications Officer
James promotes the work of the Charity both publicly and within UHNM.

Bankers and Professional Advisers

Bankers
Royal Bank of Scotland
Government Banking Cst
Po Box 2027
Parklands
De Havilland Way
Horwich
Bolton
BL6, 4YU

Auditors
Grant Thornton UK LLP
17th Floor
103 Colmore Row
Birmingham
B3 3AG

Investment Advisers
CCLA Investment Management Ltd
80 Cheapside
London
EC2V 6DZ

Solicitors
Mills and Reeve
78-84 Colmore Row
Birmingham
B3 2AB



Highlights of the Year 2021/2022

Local businesses, fundraisers and community groups were on hand to celebrate with UHNM Charity at its first ever Charity Champions evening of celebration. The event organised by UHNM Charity was held to say thank you to those who supported it throughout the Covid-19 pandemic. Those who made a difference to the work of UHNM Charity were invited to the Doubletree by Hilton in Stoke-on-Trent to share their inspirational stories.



The Keep Stoke Smiling Campaign went from strength to strength expanding its oral health workshops to all primary schools in North Staffordshire. The workshops delivered by Dr Karen Juggins, UHNM's Consultant Orthodontist in partnerships with the Stoke City Community Trust promote good oral health including tips on tooth brushing, what's in our food and the dangers of fizzy drinks.



UHNM Staff have been given better access to wellbeing initiatives and support thanks to UHNM Charity funding. Thanks to a grant from NHS Charities Together, UHNM's Organisational Development team was able to purchase a 'wellbeing wagon', that can be transported across both hospital sites. The wagon gives staff the opportunity to more easily access wellbeing support on offer.



Hip-hop dancers, circus acts and South Asian musicians were just some of the performers entertaining patients, staff and visitors at Royal Stoke thanks to UHNM Charity funding. Acts from the Appetite arts organisation performed to crowds outside the main entrance. Plans are in place to welcome back the group so visitors and staff from both hospitals can enjoy the entertainment on their break or lunch.

Patients and staff at UHNM are able to access a range of free media services thanks to UHNM Charity funding. Anybody with access to a smartphone or tablet is able to watch 35 television channels, browse digital magazines and newspapers, listen to the radio and play a range of games. Users also have access to UHNM TV, showcasing a range of in-house content promoting UHNM Charity's work.



The 'Christmas is for Everyone' roadshow received and delivered thousands of donations from local businesses and people in a bid to help those in need across Stoke-on-Trent and Stafford. Local charities the Alice Charity, Peter Pan Centre, House of Bread, and the Stoke-on-Trent Dogs Home benefited from the campaign.

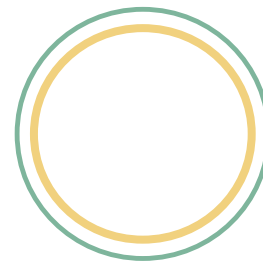
UHNM Charity funded the renovation of a previously unused courtyard area at Royal Stoke University Hospital providing staff with a tranquil area to relax and escape the demands of clinical life. The courtyard, located in the hospital's Lyme Building features covered seating and an array of plants and outdoor furniture providing staff with an area for both quiet reflection and lunchtime breaks.



To mark World Mental Health Day, UHNM Charity produced a series of videos and social media content asking UHNM staff what they do to look after their mental health and provide support. The video featured input from a range of staff from across our Older Adults department. The videos are part of UHNM Charity's commitment to celebrate awareness days throughout the year.



Our Fundraisers and Donors



Lee Merchant

A Smallthorne man who died after a short battle with cancer has donated his legacy to UHNM Charity. Lee Merchant was 48 when he sadly passed away following a diagnosis of cancer in his spine which had spread to his lungs. The father and grandfather chose to leave his residuary estate to cancer services at UHNM. Lee had said he wanted the £25,782 left in his estate to benefit the experience of fellow local cancer patients. Lee's dad Frank made the donation to UHNM cancer staff along with Lee's mum Dot, brother Karl and aunt Lynn.

Leek United Building Society

Staff from Leek United Building Society raised almost £7,000 for UHNM Charity by completing a 50-mile trek between three branches. The trek was led by Branch Manager Dan Nutt who presented their cheque along with Chief Executive Andrew Healy to Lisa Thomson, Director of Communications and Charity at UHNM. Dan said: "It was a really good experience to raise a great sum of money for a great cause. Being part of something that gives back to the local community and local area is a massive part of the job."



Sarah Washington

A fundraiser who spent 168 days in hospital following a serious riding accident returned to the Royal Stoke to say thank you to some of the staff who looked after her. Sarah Washington needed the care of staff from UHNM's Critical Care Unit and Acute Rehabilitation Trauma Unit (ARTU) following a riding accident. After she was well enough and to say thank you to everybody who cared for her, Sarah set herself a challenge of walking 168 miles in six months, a mile for every day she was in hospital, raising over £3,500.



Laurens Rampling

A nine-year-old who lost his godmother to cancer swam more than 1,500m to raise funds for UHNM Charity the day before what would have been her 50th birthday. Laurens Rampling, from Caverswall, decided to raise money for NHS Cancer Research at UHNM after godmother Tracey received treatment at the Royal Stoke Cancer Centre. Laurens set himself the challenge to swim more than 1.5k with a target of £50. After completing his final length at South Moorlands Leisure Centre in Cheadle he had raised over £1,100.



Rachel Adams

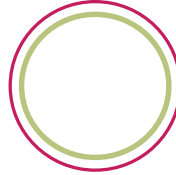
A Keele University director ran the London Marathon to raise funds to thank the UHNM staff who cared for her daughter. Rachel Adams, Director of Human Resources ran the 26.2 miles around the capital to raise money for Staffordshire Children’s Hospital at Royal Stoke. Rachel saw the staff’s work first-hand when her daughter Emma spent five weeks as an inpatient. During her stay she took part in art sessions funded by UHNM Charity helping to improve her wellbeing and recovery.



George and Kellye-Marie Pritchard

George and Kellye-Marie Pritchard’s daughter Frankie-May was just two days old when she tragically passed away. Wanting to raise as much money as possible for the Neonatal Intensive Care Unit (NICU) to help other families who find themselves in similar heart-breaking situations, the couple organised a fundraising bingo night raising well over £6,500. Kellye-Marie said: “We have our wonderful memories with Frankie-May and her big sister Rosie that we will cherish forever thanks to the wonderful team at UHNM.”

Older Adults Department



Staff from the Royal Stoke’s Frail Elderly Assessment Unit (FEAU), Wards 76a, 76b and 79 successfully climbed the 3,560ft (1,085m) to the top of Snowdon to improve patient care and raise the profile of the work of UHNM Older Adults. Gill Turner, Senior Sister on FEAU said: “The idea for our climb came after lockdown. Colleagues wanted to do something together again as a team and seeing as we’d done the climb in the past, we decided to give it another go and this time raise some funds for our patients.”



Tracy Latham

A vet from Leek completed an epic 268-mile Pennine Way hike for UHNM Charity. Tracy Latham spent eight days hiking the length of the Pennines to thank UHNM’s Spinal team for providing care to her mum Helena who suffers from major back problems. Tracy would start her walks at 4am and cover up to a massive 40 miles in a day. Tracy said: “Everyone at UHNM has been absolutely amazing and I did this challenge to raise funds as our way of saying thank you to our amazing NHS and giving back something for all that they have done for Mum.”



Support from Schools, Businesses and Organisations



Tile Central UK supported our 'Christmas is for Everyone' campaign by donating a £5,000 bathroom as a competition prize



World-famous toffee producers **Walkers Nonsuch** continued to donate sweet treats to our staff as a thank you for their hard work



To mark the NHS Big Tea, the **Paragon Group** held their own tea parties raising over £550



Year 7 pupils from **Blythe Bridge High School** donated 'hugs in a bag' to departments across UHNM throughout the year



Barlaston Golf Club said thank you UHNM staff by offering a £5 for a round of golf. The Club is also the venue for 2022's Corporate Golf Day



The **National Citizen Service through the North Staffordshire YMCA** donated 200 care packages for cancer patients across UHNM



Jase Edwards, owner of **Funky Fillerz** completed his own gym challenge to mark his 50th birthday raising almost £2,000



As a thank you to UHNM staff, **Winter Wonderland** in Hanley donated 1,000 'golden ticket' free passes over the Christmas period



Silverdale Running Club made us their charity of the year raising £200 through raffles and merchandise



The Community Champions from **Morrisons** Goose St in Newcastle-under-Lyme donated a number of items during the year



Dr/Cllr Chandra Kanneganti, the Lord Mayor of Stoke-on-Trent made us his charity of the year supporting our work at various events



Staff and pupils from **Weston Road High School** in Stafford raised almost £1,000 taking part in a 4k colour fun run



Our Impact for Patients

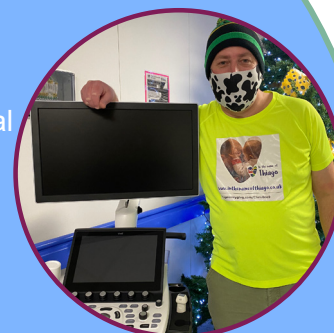
Children's Heart Scanners

Two heart scanners were made possible thanks to supporters like Chris Gibbs who raised over £13,000 on a sponsored walk from Eastborne to Royal Stoke in memory of his son Thiago.

The new scanners are being used to pick up heart defects in patients aged from premature babies to 16 years old.

They provide high resolution imagery and more accurate details.

The scanners will be used on both wards and outpatient clinics so more patients will be able to benefit from them, and will reduce waiting times for patients and their families.



Rehab Chairs

Staff from the Acute Rehabilitation Trauma Unit (ARTU) were on hand to show fundraiser Belinda Diamond five rehabilitation chairs they were able to purchase thanks to a sponsored swim which raised £55,000 for UHNM Charity.

Prior to these new chairs arriving there was less seating equipment available and staff had to limit the time patients could use them for so they could be cleaned and readied for someone else. This impacted on staff time and patient experience and rehabilitation.

These new highly adjustable chairs provide postural support, pressure care and improved patient comfort.



Cone Beam CT

Staffordshire and Stoke-on-Trent cancer and trauma patients are now able to access better diagnosis and treatment closer to home thanks to charity funding.

A cone beam CT scanner has been funded by UHNM Charity for patients attending the Maxillofacial Department at Royal Stoke University Hospital.

The cone beam scanner allows clinicians to provide patients with a faster, higher quality and more accurate assessment of their trauma or cancer locally.

Prior to its purchase patients needing certain scans of their face, mouth or jaw structures would have had to travel to Manchester or Birmingham.



Anaesthetists Robotic Training

Staff at the Royal Stoke University Hospital's Anaesthetic Academic Unit can now simulate procedures and practice on the robot funded thanks to a grant from the Denise Coates Foundation to UHNM Charity.



The SimMan 3G, dubbed "Ildris" by staff, is a life-sized model that talks, breathes and reacts to medicines in the same way as a real human.

The new equipment enables staff to develop clinical knowledge, competence and confidence on how to better manage the most severe cases of patients such

3D digital Intra-oral Scanners

Orthodontic patients at UHNM can now benefit from having faster, more accurate and less invasive impressions of their teeth taken thanks to UHNM Charity funding.



UHNM Charity has helped the Trust's Orthodontic departments at Royal

Stoke and County hospitals purchase two of the latest innovative 3D digital intra-oral scanners to take digital scans of the teeth and surrounding structures which will replace analogue paste impressions.

The new scanners enable clinicians to view the images of the patient's teeth and occlusion instantly speeding up treatment and waiting times

Neonatal Family Rooms and Bereavement Suite

The Neonatal Intensive Care Unit (NICU) at the Royal Stoke University Hospital has been able to refurbish its family rooms and bereavement suite after receiving a grant from UHNM Charity.

The Unit's six family rooms, a mixture of twin and double rooms, enable parents to stay close to their baby during treatment, support feeding and strengthen parent-baby relationship before they are discharged home.



The newly-furnished bereavement rooms will ensure staff can care for parents in a quiet and comfortable space, supporting them while they spend time with their baby during the most difficult of times.



Key Operational Milestones

To support the delivery of the UHNM strategy, key operational milestones have been developed.

The first year's key areas of the Operational Plan to support University Hospitals of North Midlands (UHNM) Charity strategy for 2021/22 was developed and was completed. It encompassed:

Website developed with capability for online donations and shop. The shop is being expanded with items available to support fundraisers with their fundraising during 2022/23.

The development of an interactive database to enable direct and targeted messaging to supporters and fundraisers. An e-newsletter is now sent to all those requesting it every other month.

The development and launch of a legacy strategy. Created with donors and staff, legacy giving is promoted through the Charity's dedicated legacies page on its website.

Launch of UHNM TV supported the activities over the festive period showcasing the work of the Charity, celebrating fundraisers, thanking supporters and promoting the many school and local choirs who came on to the hospital sites to sing for patients, visitors and staff.

The development and launch of bedside, patient, visitor and staff entertainment system with the ability to donate via personal devices.

This is now operational and being supported by communications activities to promote the availability of this service. It means that any patient, visitor or member of staff has access to free TV, radio, online newspapers and magazines and games.

The Charity's focus on attracting local business and organisations has led to new partnerships and the Charity being selected as one of the three charities of choice to benefit from the 2022 Potters Alf.

During 2022/23 the Charity team will build on this foundation to increase both the number of fundraises and the income generated.

Leave a legacy to UHNM Charity

By leaving a Gift to UHNM Charity you are helping to save and transform the lives of future generations of patients. A gift costs nothing in your lifetime and makes a difference for years to come.

Here at UHNM Charity, we provide support to patients and their families at University Hospitals of North Midlands NHS Trust through both legacies and fundraising. Thanks to the generosity and consideration of our supporters, we have purchased world class equipment, improved the environment and facilities, as well as helped to enhance NHS services for the benefit of people who work or are cared for at Royal Stoke University Hospital and County Hospital, Stafford.

Without the generosity of our supporters this simply would not have been possible.

If you have any further questions, please contact UHNM Charity on 01782 676444 or email charity@uhnm.nhs.uk

Donate to UHNM Charity

No matter how large or small, every gift will help make a difference to patients and staff at the University Hospitals of North Midlands. There are many ways in which you can donate:



Online

You can make a donation securely online at www.uhnmcharity.org.uk



By post

Send a cheque or CAF Voucher to us by post. Cheques need to be made payable to 'UHNM Charity'. Please enclose a covering letter telling us if there is a particular area of the hospital you would like to support.

UHNM Charity
Royal Stoke University Hospital
Newcastle Road
Stoke on Trent
ST4 6QG



By telephone

Call us on (01782) 676444
(And do not forget to have your credit or debit card ready!)



Trustees' Report

The Corporate Trustee is pleased to present the University Hospitals of North Midlands Charity (UHNM Charity) Annual Report together with the Audited Financial Statements for the year ended 31 March 2022. These accounts have been prepared in accordance with the Charities Act 2011 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102).

Legal Structure

University Hospitals of North Midlands NHS Trust is the corporate trustee of funds held on trust under powers given to it by s212 to s222 of the National Health Service Act 2006. The funds held on trust are registered as University Hospitals of North Midlands Charity with the Charity Commission under registration number 1054889.

Management of the Charity

The Trust Board of University Hospitals of North Midlands NHS Trust (the Trust) is the Corporate Trustee of UHNM Charity. All voting Executive and Non-Executive Directors of University Hospitals of North Midlands NHS Trust take decisions collectively as agents of the Trustee and receive training through Board Development sessions.

The directors of the Corporate Trustee are therefore not themselves Trustees even though they are sometimes referred to internally as the Trustees. The Charity is separate from the Trust and independent of it, but it aims to work closely with the Trust in order to achieve its charitable objectives. The Corporate Trustee delegates certain responsibilities to agents and/or employees but always retains the ultimate responsibility for the management of the Charity.

The Corporate Trustee has established a Charitable Funds Committee to oversee the Charity's operation on its behalf. The Committee scrutinises and constructively challenges the Charity's operations and financial information and systems of control, including the Annual Accounts, to provide assurance to the Trustee Board that the administration of charitable funds is distinct from its exchequer funds and compliant with legislation and Charity objectives.

The Charitable Funds Committee meets regularly and reports to the Corporate Trustee, which approves major decisions and remains responsible for the activities of the Charity. The day-to-day operations of the Charity are

overseen by the Trust Director of Communications and Charity.

Objectives

The main objectives of the Trustees are to accept, hold and administer any property on trust for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the service provided by University Hospitals of North Midlands NHS Trust. The funds of the Charity accumulate from the receipt of donations and legacies together with the returns on investments. The funds are used above basic NHS provision to enhance the care of patients through the provision of new or enhanced facilities, state of the art equipment, and innovative medical research and skilled staff (through welfare, learning and development).

Investment Policy

Surplus monies not required to meet immediate commitments are currently invested in COIF Charity Funds, which are common investment and deposit funds established under section 96 of the Charities Act 2011. The administrative functions for the COIF Charity Funds have been delegated to CCLA, whose ethical and social investment policies support the UHNM Charity's own investment policy. The portfolio has performed in line with the overall stock market conditions during the financial year with performance continually monitored by the Charitable Funds Committee.

Reserves Policy

The Corporate Trustee has agreed a Reserves Policy to ensure that donations are spent within a reasonable period from receipt whilst also protecting the financial viability of the fund. The agreed minimum level of reserves is a balance sufficient to cover normalised expenditure for three years and therefore protects the spending plans of the charity against falls in fundraising income, legacies and investment values. Covering running costs in this way gives the Charitable Funds Committee a reasonable timescale to ensure that appropriate management action can be taken to consider alternative funding sources and marketing strategies to improve its position if necessary. It also allows sufficient time for the charity to process on-going commitments and to meet the cost of closure or transfer of the charity's affairs should the need ever arise. As at 31 March 2020 UHNM had unrestricted reserves of £5.3million which exceeds the amount needed to cover the agreed three years operational and governance costs. The Corporate Trustee is committed to reducing the excess

over the next three years in a way which gives optimum benefit to patients. The charity team is working with fund advisors on spending plans for their particular clinical areas that are in line with both charitable and Trust objectives. The Trust is currently in the process of developing its clinical services strategy which once completed will help signpost the areas where charitable investment might give the best long term benefits to patients. The Corporate Trustee is also seeking proposals for some potentially higher cost schemes associated with developing specialist services such as cancer and childrens.

Risk Policy

The major risks to which the Charity could be exposed have been identified and considered and systems developed to minimise those risks. The most significant risks have been identified as:

1. Losses from a fall in the value of the investments due to volatility in world markets; and
2. Fraud

The Committee is satisfied that the Charity's Investment Policy together with robust financial procedures including the regular monitoring of investments minimises the investment risks. Fraud is controlled by robust financial policies and procedures adopted by the Corporate Trustee.

Expenditure Approval Policy

As a grant making charity, each application is considered on its own merits for appropriateness against the Charity and any specific fund objectives, and it must comply with the Charity Commission funding criteria and UHNM Charity Policy. All grant applications are subject to approval by the NHS Trust (to confirm they can benefit from the grant and meet any on-going liabilities) and the Corporate Trustee Board or its appointed officers. All research grants are only awarded if approved by the Ethics Committee and results must be made available within the public domain.

Statement of Trustee's Responsibility

Under charity law, the Trustee is responsible for preparing the Trustee's Report and financial statements for each financial year which show a true and fair view of the state of affairs of the charity and of the charity's financial position for the period.

In preparing these financial statements, generally accepted accounting practice entails that the Trustee:

- selects suitable accounting policies and then applies them consistently;
- makes judgements and estimates that are reasonable and prudent;
- states whether the financial statements comply with applicable accounting standards and statements of recommended practice, subject to any material

departures disclosed and explained in the financial statements;

- prepares the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue its activities.

The Trustee is required to act in accordance with the trust deed of the charity, within the framework of trust law. It is responsible for keeping proper accounting records, sufficient to disclose at any time, with reasonable accuracy, the financial position of the charity at that time, and to enable the Trustee to ensure that, where any statements of accounts are prepared by it under s132(1) of the Charities Act 2011, those statements of accounts comply with the requirements of the regulations under that provision. It has general responsibility for taking such steps as are reasonably open to it to safeguard the assets of the charity and to prevent and detect fraud and other irregularities.

The Trustee is responsible for the maintenance and integrity of the financial and other information included on the charity's website. Legislation in the UK governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

The Trustee confirms that it has met the responsibilities set out above and complied with the requirements for preparing the Trustee's Report and the financial statements. The financial statements set out in this document have been compiled from and are in accordance with the financial records maintained by the Trustee.

In exercising its powers and responsibilities the Trustee has complied with its duty to have due regard to the Guidance on Public Benefit published by the Charity Commission.

Related Party Transactions

University Hospitals of North Midlands NHS Trust is a related party as it is both the Trustee and the recipient of grants made by the charity. Note 1.11 of the Accounts discloses information about any related party transactions.

Fundraising

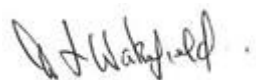
In relation to Charities Act 2011 which requires disclosures to be made in respect of fund-raising activities, UHNM Charity has a nil disclosure. UHNM Charity does not employ external professional commercial fundraisers or fundraising organisations. Any individual fundraising for the Charity is provided with an official fundraising pack and supported by the Charity Team to ensure all standards of fundraising are met. These are available on the Charity website. No complaints have been received from fundraisers or donors and the Charity team works closely to support all of those individuals choosing to raised funds.

Financial Statements for the Period 1 April 2021 to 31 March 2022

Statement of Financial Activities (Including Income and Expenditure Account) for University Hospitals of North Midlands Charity for the Year Ended 31 March 2022

	Note	Unrestricted Funds		Restricted Funds		Total Funds	
		2022	2021	2022	2021	2022	2021
Income from:		£000	£000	£000	£000	£000	£000
Donations	2.1	273	281	4,025	2,362	4,298	2,643
Legacies	2.2	542	33	96	2	638	35
Other trading activities	2.3	193	333	0	0	193	333
Investments	2.4	179	188	50	30	229	218
Total Income		1,187	835	4,171	2,394	5,358	3,229
Expenditure on:							
Charitable activities	3.1	698	1,525	3,098	1,967	3,796	3,492
Raising funds	3.2	183	45	2	4	185	49
Other		0	0	0	0	0	0
Total expenditure	3.3	881	1,570	3,100	1,971	3,981	3,541
Net gains on investments	4	480	1,048	133	168	613	1,216
Net Income		786	313	1,204	591	1,990	904
Transfers between funds	9	0	0	0	0	0	0
Net movement in Funds		786	313	1,204	591	1,990	904
Reconciliation of funds:							
Total funds Brought forward		5,619	5,306	1,234	643	6,853	5,949
Total funds carried forward		6,405	5,619	2,438	1,234	8,843	6,853

The statement of financial activities includes all gains and losses recognised in the year.
All income and expenditure derives from continuing activities.



Chairman
Date: 24 January 2023

Balance Sheet for University Hospitals of North Midlands Charity as at 31 March 2022

	Note	Unrestricted Funds 31-Mar-22 £000	Restricted Funds 31-Mar-22 £000	Total Funds 31-Mar-22 £000	Total Funds 31-Mar-21 £000
Non-current assets					
Investments	6	5,587	2,127	7,714	7,101
Total non-current assets		5,587	2,127	7,714	7,101
Current assets					
Debtors	7.1	225	0	225	79
Cash at bank and in hand	7.2	978	3,345	4,323	1,689
Total current assets		1,203	3,345	4,548	1,768
Liabilities					
Creditors falling due within one year	8.1	(381)	(2,866)	(3,247)	(1,999)
Net current assets		822	479	1,301	(231)
Total assets less current liabilities		6,409	2,606	9,015	6,870
Creditors:					
Amounts falling due after more than one year	8.2	(4)	(168)	(172)	(17)
Net assets		6,405	2,438	8,843	6,853
Funds of the Charity					
Restricted income funds	9	0	2,438	2,438	1,234
Unrestricted income funds		6,405	0	6,405	5,619
Total Funds		6,405	2,438	8,843	6,853

The notes on pages 20 to 27 form part of this account.

The date of approval of the accounts by the Trust Board was 24 January 2023.



Chairman
Date: 24 January 2023



Cash flow statement for the year ended 31 March 2022

	Note	Total Funds 31-Mar-22	Total Funds 31-Mar-21
Reconcilliation of net income to net cash flow from operating activities			
Net income for the reporting period (as per the Statement of Financial Activities)		1,990	904
Adjustments for:			
Gains on investments	4	(613)	(1,216)
Interest from Investments		229	218
(Increase) decrease in debtors		(146)	101
Increase in creditors		1,403	716
Net cash provided by operating activities		2,863	723
Cash flows from operating activities:			
Net cash provided by operating activities		2,863	723
<i>Cash flows from investing activities:</i>			
Interest from Investments	2.5	(229)	(218)
Net cash used in investing activities		(229)	(218)
Increase in cash and cash equivalents in the reporting period		2,634	505
Cash and cash equivalents at 1 April		1,689	1,184
Cash and cash equivalents at 31 March		4,323	1,689
Analysis of cash and cash equivalents:			
Cash in hand		4,323	1,689
Total cash and cash equivalents		4,323	1,689

Notes to the Financial Statements

1 Accounting Policies

1.1 Basis of preparation

UHNM Charity (the Charity) has prepared its financial statements that comply with SORP 2019 (FRS102) for the year ended 31 March 2022.

The Charity constitutes a public benefit entity as defined by FRS102. The accounts (financial statements) have been prepared in accordance with the Statement of Recommended Practice: Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102), and the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102) and the Charities Act 2011 and UK Generally Accepted Practice as it applies from January 2019.

1.2 Income recognition

All incoming resources are included in full in the Statement of Financial Activities as soon as the following three factors can be met:

i) entitlement - arises when a particular resource is receivable or the charity's right becomes legally enforceable;

ii) probable - it is more likely than not that the economic benefits associated with the transaction or gift will flow to the Charity; and

iii) measurement - when the monetary value of the incoming resource can be measured with sufficient reliability

1.3 Legacies

Legacies are accounted for as incoming resources once the receipt of the legacy becomes probable. This will be once confirmation has been received from the representatives of the estates that payment of the legacy will be made or property transferred and once all conditions attached to the legacy have been fulfilled.

1.4 Expenditure recognition

The Charity's accounts are prepared in accordance with the accruals concept. All expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party.

a) Cost of raising funds

The cost of generating funds are the costs associated with generating income for the funds held on trust.

b) Grants payable

Grants payable are payments, made to third parties (including NHS bodies) in the furtherance of the funds held on trust's charitable objectives to relieve those who are sick. They are accounted for on an accruals basis where the conditions for their payment have been met or where a third party has a reasonable expectation that they will receive the grant. This includes grants paid to NHS bodies and relevant allocation of support costs. The majority of grant payments made by the charity have been made to University Hospitals of North Midlands NHS Trust.

c) Governance costs

These are accounted for on an accruals basis and are recharges of appropriate proportions of costs from University Hospitals of North Midlands NHS Trust.

1.5 Structure of funds

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified in the accounts as a restricted fund. Funds where the capital is held to generate income for charitable purposes and cannot itself be spent are accounted for as endowment funds. Other funds are classified as unrestricted funds. Funds which are not legally restricted but which the Trustees have chosen to earmark for set purposes are classified as designated funds. The major funds held are disclosed in note 9.



Notes to the Financial Statements

1.6 Investment Assets

Investment assets are shown at market value.

1.7 Realised gains and losses

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening market value (or date of purchase if later). Unrealised gains and losses are calculated as the difference between market value at the year end and opening market value (or date of purchase if later).

1.8 Change in the Basis of Accounting

There has been no change in the basis of accounting during the year.

1.9 Prior Year Adjustments

There has been no change to the accounts of prior years.

1.10 Pooling Scheme

An official pooling scheme is operated for investments held by the Charity. The scheme was registered with the Charity Commission on 31st March 1998.

1.11 Related party transactions

The University Hospitals of North Midlands NHS Trust (the Trust) is the Charity's only related party. During the year none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the Trust outside their role as employees of the Trust.

During the year none of the Trustees has received any further remuneration or reimbursement of expenses for the provision of their services as a Trustee of the Charitable Fund outside their role as employees of the Trust.

The Charity has made revenue and capital payments to the Trust where the Trustees are also members of the Trust Board.

In year transactions amounted to £3.682m (2020/21: £3.675m). Outstanding at the year end was a balance of £2.193m (2020/21: £1.466m) which is included within creditors falling due within one year.

1.12 Denise Coates Foundation

In April 2020 the Charity was given the opportunity to bid for a grant of up to £10m by the Denise Coates Foundation to support staff and patients during the on-going COVID-19 pandemic. In order to access funding the Charity submits applications to be funded by the Denise Coates Foundation and therefore the financial statements reflect only the income, expenditure and a restricted fund balance relating to schemes fully approved. During 2021/22, income of £4m was received (2020/21: £1.9m) and the Trust has submitted further applications for consideration of £4.362m bringing the overall total to £10.263m.

1.13 Commitments

Applications for expenditure are treated as commitments as soon as the necessary approval is granted and recipients have received confirmation by the year-end in order to create a constructive obligation. The Trustees of these funds will endeavour to encourage spending plans within the forthcoming year.

1.14 Going concern

The financial statements have been prepared on a going concern basis as the trustees believe that no material uncertainties exist.

1.15 Post Balance Sheet Events

There are no post balance sheet events.

1.16 Contingencies

Contingent assets (that is, assets arising from past events whose existence by one or more future events not wholly within the entity's control) are not recognised as assets but are disclosed in note 11 where an inflow of economic benefit is probable.

Notes to the Financial Statements

2 Income

2.1 Donations

	2022			2021		
	Restricted	Total		Restricted	Total	
	£'000	£'000	£'000	£'000	£'000	£'000
In memory of donations	119	2	120	119	2	121
Recognition of Care donations	65	0	65	37	0	37
Collections	5	0	5	3	0	3
Breast Care for Magseed	15	0	15	15	0	15
Denise Coates Foundation	0	4,000	4,000	0	1,901	1,901
NHS Charities Together	0	22	22	0	458	458
Other donations	69	1	70	106	1	107
Total donations	273	4,025	4,298	280	2,362	2,643

2.2 Legacies

	2022			2021		
	Restricted	Total		Restricted	Total	
	£'000	£'000	£'000	£'000	£'000	£'000
Legacies	542	96	638	33	2	35
Total for the year	542	96	638	33	2	35

2.3 Income from other trading activities

	2022			2021		
	Restricted	Total		Restricted	Total	
	£'000	£'000	£'000	£'000	£'000	£'000
Charity events and fundraising	193	0	193	333	0	333
Total for year	193	0	193	333	0	333

Income from other trading activities comprises of funds raised through various sporting and other fundraising events.

2.4 Investment income

	2022			2021		
	Restricted	Total		Restricted	Total	
	£'000	£'000	£'000	£'000	£'000	£'000
Income from investments was received as below:						
Income from other investments	176	49	224	187	30	217
Bank interest	3	1	4	0	0	0
Total for year	179	50	228	187	30	217



Notes to the Financial Statements

3 Expenditure

	2022			2021		
	£'000	Restricted £'000	Total £'000	£'000	Restricted £'000	Total £'000
3.1 Charitable activities						
Patient welfare and amenities	185	2	188	170	347	518
Staff welfare and amenities	17	20	36	(45)	12	(33)
Research	(36)	0	(36)	(6)	0	(6)
Enhancement of NHS care	364	3,030	3,394	1,247	1,583	2,830
Support costs	167	47	214	159	26	184
Total	697	3,099	3,796	1,525	1,967	3,492
3.2 Raising Funds						
Fundraising costs	176	0	176	25	1	25
Support costs	7	2	8	20	3	23
Total	183	2	184	45	4	48
3.3 Total expenditure	880	3,101	3,981	1,570	1,971	3,541

Included in patient welfare and amenities is £58k spend on 12 months of a three year commitment for hospital Wi-Fi.

Included in Enhancement of NHS care is capital projects and spend on the purchase of medical and computer systems. During the year £1.639m has been spent on a Surgical Robot, with further commitments included of £0.308m, and £0.495m has been spent on ORBEYE, both funded by the Denise Coates Foundation.

The Charity has no direct employees. Staff involved in the administration of the charity are employees of the University Hospitals of North Midlands NHS Trust. A recharge is made by the Trust to the charity to reflect the cost of administering the charity. Staff costs for the period amounted to £177k (£172k in 2020/21) and are apportioned between expenditure on raising funds and charitable activities.

4 Net gains on investments

	2022 £'000	2021 £'000
Unrealised gains on Investment assets	613	1,216
Net unrealised gains for the year	613	1,216

5 Net income for the year

This is stated after charging:

	2022 £'000	2021 £'000
Auditor's remuneration:		
Audit fees	12	12
Total	12	12

Audit fees are included within Support Costs.

Notes to the Financial Statements

6 Analysis of Investments

	2022 £'000	2021 £'000
Fixed Asset Investments:		
Opening Market value 1 April 2021	7,101	5,885
Net gain on revaluation	613	1,216
Closing Market value at 31 March 2022	7,714	7,101

The historical cost of the investments held was £4.645m (£4.645m in 2020/21) and the market value as at 31 March 2022 was £7.714m (£7.101m in 2020/21).

At 31st March 2022 the mid market value of one Charities Investment Fund Income unit was 1,947.35 pence (1,792.64 pence as at 31st March 2021).

7 Current assets

7.1 Debtors (falling due within one year)

	2022 £'000	2021 £'000
Interest including bank interest	58	55
Tax credits	15	6
Debtors	152	18
Total	225	79

7.2 Cash at Bank and in hand

	2022 £'000	2021 £'000
Cash held at Royal Bank of Scotland	4,323	1,689
Total	4,323	1,689

8 Liabilities

8.1 Creditors (falling due within one year)

	2022 £'000	2021 £'000
Creditors	3,247	1,999
Total	3,247	1,999

Creditors include the current committed expenditure of the funds amounting to £0.839m (£0.506m in 2020-21)

8.2 Creditors (falling due after more than one year)

	2022 £'000	2021 £'000
Creditors (maintenance charges)	172	17
Total	172	17



Notes to the Financial Statements

9 Funds

Designated funds

The income funds of the Charity includes designated funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the University Hospitals of North Midlands NHS Trust. The table below shows all funds with a closing balance of greater than £25k.

Fund	Opening Balance 1st April 2021	Incoming Resources	Resources Expended	Gains and losses	Closing Balance 31st Mar 2022
	£000	£000	£000	£000	£000
UHNM General Fund	563	260	(291)	62	594
Cancer Centre Fund	854	139	(65)	66	994
UHNM Critical Care Fund	201	50	(36)	17	232
County Fundraising Appeal	189	5	(5)	14	203
UHNM Breast Care Fund	81	22	(9)	6	100
Cancer Research	35	2	(1)	3	39
UHNM Heart Fund	348	153	(8)	29	522
Cystic Fibrosis	89	20	(7)	7	109
UHNM Children's Centre & Services	195	88	(111)	16	188
Respiratory Fund	138	20	5	13	176
UHNM Gynaecology Fund	41	2	(1)	3	45
UHNM Obstetric Fund	95	8	(6)	7	104
Neonatal Intensive Care Unit	292	40	(127)	20	225
UHNM Neurosciences IP Fund	56	7	(6)	4	61
UHNM Trauma and Orthopaedic Fund	132	87	(51)	13	181
Renal Fund	220	35	(6)	17	266
Imaging Fund	54	2	(1)	4	59
UHNM Research and Development Fund	198	6	24	15	243
UHNM Fresh Hair Fund	164	17	(14)	12	179
General Cancer Research Fund	300	8	(8)	22	322
County General Fund	85	41	(8)	7	125
County Cancer & Haematology Fund	124	9	(3)	9	139
Emergency Care Centre	37	8	(1)	3	47
UHNM Stroke & AU Fund	84	14	(17)	6	87
Art for Life	37	1	(7)	2	33
Eye Fund	32	4	3	3	42
Elderly Care Fund	33	7	(3)	3	40
Pot luck patient benefit fund	59	15	(23)	4	55
General Medical Fund	49	5	(2)	4	56
RSUH General Fund	63	35	(2)	5	101
Vascular	47	2	(1)	4	52
Urology	63	2	(2)	5	68
Gastro & Endoscopy Fund	49	2	(1)	4	54
UHNM Diabetes Fund	75	3	(2)	6	82
Gordon Banks-In Safe Hands	30	1	(1)	2	32
GI Unit	29	1	(1)	2	31
UHNM Specialised Surgery	35	5	(13)	2	29
UHNM Neurosciences OP Fund	31	4	(1)	2	36
UHNM EMERGENCY APPEAL	191	16	(15)	39	231
Sleep and Ventilation Fund	25	1	(1)	2	27
All other designated funds (69)	196	40	(56)	16	196
Total Funds	5,619	1,187	(881)	480	6,405

The number of funds remaining at 31st March 2022 was 110 (110 at 31 March 2021). There have been no transfers between funds during the year.

Restricted Funds

The income funds of the Charity include restricted funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for specific purposes.

The table below shows all funds with a closing balance of greater than £25k.

	Balance 01-Apr 2021	Incoming Resources	Resources Expended	Gains and losses	Balance 31-Mar 2022
	£000	£000	£000	£000	£000
North Staffs Heart Committee	620	117	(17)	49	769
Denise Coates Foundation	235	4,026	(3,059)	70	1,272
UHNM Emergency Appeal	240	24	(21)	4	247
Chemotherapy Legacy	106	3	(3)	8	114
All other restricted funds (3)	33	1	0	2	36
	1,234	4,171	(3,100)	133	2,438

The number of funds remaining at 31st March 2022 was 7 (7 at 31 March 2021). There have been no transfers between funds during the year.

Notes to the Financial Statements

10 Commitments

The funds have the following commitments:

	2022 £'000	2021 £'000
Charitable projects	642	320
Charitable projects over one year	172	17
Capital	197	169
Total commitments	1,011	506

The trustees recognise liabilities in the accounts once they have incurred either a legal or constructive obligation to spend the funds. Commitments of £1.011m (£0.506m in 2020/21) have been included in this set of accounts as part of Creditors, refer to notes 8.1 and 8.2.

Applications for expenditure are accounted for on an accruals basis. A commitment is created where approval is granted and a constructive obligation has been created. All of the commitments in both 2021/2022 and 2020/21 relate to grants payable. Until 31 March 2017 the Charity made a commitment to fund the on-going maintenance for equipment that it has funded through grant payments. From 1 April 2017 the Charity no longer funds the on-going maintenance for new equipment purchased from grant payments.

There is currently £185k remaining relating to maintenance commitments, including £168k for the Surgical Robot funded by the Denise Coates Foundation. The majority of the other maintenance commitments will cease during the 2022/23 financial year.

11 Contingent assets

As at 31st March 2022 the Charity recognises one contingent asset for £70k relating to a legacy which is reliant on approval from the estate's executor. The Trust also recognises two contingent assets which are reliant on the completion of property sales and the amounts are uncertain. In the prior year £35,000 was recognised as a contingent asset at the reporting date in respect of a legacy where payment was reliant on completion of a property sale. At the reporting date the sale was probable but completion did not occur until after the reporting date.

12 Five year summary of Income and Expenditure

Financial year ended	2018 £000	2019 £000	2020 £000	2021 £000	2022 £000
Total Incoming Resources	1,300	1,854	1,303	3,229	5,358
Total Resources Expended	(1,578)	(1,493)	(1,896)	(3,541)	(3,981)
Gains/(losses) on Investment assets	116	444	(192)	1,216	613
Net movement in funds	(162)	805	(785)	904	1,990

Key income and expenditure movements:

On average, the Charity received £1,429k of income in each of the above five years excluding Denise Coates Foundation donations. In 2019 the Trust received a legacy of £538k, increasing that year's income to £1,854k.

In 2021 the Charity received £1,901k in donations from the Denise Coates Foundation, increasing the income for that year. Total income was £1,328k excluding these donations and this is close to the average. In 2021 the Charity received £4,000k in donations from the Denise Coates Foundation, increasing the income for that year. Total income was £1,358k excluding those donations, which is close to the average.

In 2021 the Charity spent £1,666k in relation to Denise Coates Foundation projects. The underlying level of expenditure was £1,875k which is similar to the prior year. In 2021 the Charity spent £3,059k in relation to Denise Coates Foundation projects. The underlying level of expenditure was £922k which was lower than previous years due partly to a larger proportion of spend against the Denise Coates Foundation projects which otherwise would have been incurred by other funds.

Gains and losses on investments are not within the Charity's control and are dependent on the prevailing market conditions and the investment portfolio managed by our investment managers.



Independent Auditor's Report to the Corporate Trustee of UHNM Charity

Independent auditor's report to the corporate trustee of University Hospitals of North Midlands NHS Trust Charity

Opinion

We have audited the financial statements of University Hospitals of North Midlands NHS Trust Charity (the 'charity') for the year ended 31 March 2022, which comprise the Statement of Financial Activities, the Balance Sheet, the Cash Flow Statement and notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102; 'The Financial Reporting Standard applicable in the UK and Republic of Ireland' (United Kingdom Generally Accepted Accounting Practice).

In our opinion, the financial statements:

- give a true and fair view of the state of the charity's affairs as at 31 March 2022 and of its incoming resources and application of resources for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of the Charities Act 2011.

Basis for opinion

We have been appointed as auditor under section 149 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act. We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the 'Auditor's responsibilities for the audit of the financial statements' section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Conclusions relating to going concern

We are responsible for concluding on the appropriateness of the trustee's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the charity's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify the auditor's opinion. Our conclusions are based on the audit evidence obtained up to the date of our report. However, future events or conditions may cause the charity to cease to continue as a going concern.

In our evaluation of the trustee's conclusions, we considered the inherent risks associated with the charity's business model including effects arising from macro-economic uncertainties such as Brexit and Covid-19, we assessed and challenged the reasonableness of estimates made by the corporate trustee and the related disclosures and analysed how those risks might affect the charity's financial resources or ability to continue operations over the going concern period.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the charity's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

In auditing the financial statements, we have concluded that the trustee's use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

The responsibilities of the corporate trustee with respect to going concern are described in the 'Responsibilities of the corporate trustee for the financial statements' section of this report.

Other information

The corporate trustee is responsible for the other information. The other information comprises the information included in the Annual Report, other than the financial statements and our auditor's report thereon. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon. In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters where the Charities Act 2011 requires us to report to you if, in our opinion:

- the information given in the Annual Report is inconsistent in any material respect with the financial statements; or
- the charity has not kept sufficient accounting records; or
- the financial statements are not in agreement with the accounting records and returns; or
- we have not received all the information and explanations we require for our audit.

Responsibilities of the corporate trustee for the financial statements

As explained more fully in the Trustee's Responsibilities Statement set out on page 17, the corporate trustee is responsible for the preparation of the financial statements which give a true and fair view, and for such internal control as the trustee determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the corporate trustee is responsible for assessing the charity's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the corporate trustee either intends to liquidate the charity or to cease operations, or has no realistic alternative but to do so.

Auditor's responsibilities for the audit of the financial statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at: www.frc.org.uk/auditorsresponsibilities. This description forms part of our auditor's report.

Explanation as to what extent the audit was considered capable of detecting irregularities, including fraud

Irregularities, including fraud, are instances of non-compliance with laws and regulations. We design procedures in line with our responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud. Owing to the inherent limitations of an audit, there is an unavoidable risk



Independent Auditor's Report to the Corporate Trustee of UHNM Charity

that material misstatements in the financial statements may not be detected, even though the audit is properly planned and performed in accordance with the ISAs (UK).

The extent to which our procedures are capable of detecting irregularities, including fraud is detailed below:

- We obtained an understanding of the legal and regulatory frameworks that are applicable to the charity and determined that the most significant which are directly relevant to specific assertions in the financial statements are those related to the reporting frameworks (The Charities Act 2011, the Charities SORP and United Kingdom Accounting Standards, including Financial Reporting Standard 102; 'The Financial Reporting Standard applicable in the UK and Republic of Ireland' (United Kingdom Generally Accepted Accounting Practice));
- We enquired of management and those charged with governance, concerning the charity's policies and procedures relating to:
 - the identification, evaluation and compliance with laws and regulations;
 - the detection and response to the risks of fraud; and
 - the establishment of internal controls to mitigate risks related to fraud or non-compliance with laws and regulations;
- We enquired of management and those charged with governance, whether they were aware of any instances of non-compliance with laws and regulations or whether they had any knowledge of actual, suspected or alleged fraud;

We assessed the susceptibility of the charity's financial statements to material misstatement, including how fraud might occur, by evaluating incentives and opportunities for manipulation of the financial statements. This included the evaluation of the risk of management override of controls and improper recognition of revenue.

We determined that the principal risks were in relation to;

- journal entries that altered the Charity's financial performance for the year;
- the occurrence of voluntary income.

- Our audit procedures involved:

- evaluation of the design effectiveness of controls that are in place to prevent and detect fraud;
- journal entry testing, with a particular focus on significant journals at the end of the financial year, which impacted on the Charity's financial performance;
- documenting and gaining an understanding of controls around monitoring and receipt of cash donations and the recording in the general ledger
- substantive sampling of voluntary income and year-end balances to supporting records
- assessing the extent of compliance with the relevant laws and regulations as part of our procedures on the related financial statement item.

- These audit procedures were designed to provide reasonable assurance that the financial statements were free from fraud or error. The risk of not detecting a material misstatement due to fraud is higher than the risk of not detecting one resulting from error and detecting irregularities that result from fraud is inherently more difficult than detecting those that result from error, as fraud may involve collusion, deliberate concealment, forgery or intentional misrepresentations. Also, the further removed non-compliance with laws and regulations is from events and transactions reflected in the financial statements, the less likely we would become aware of it;

- Our assessment of the appropriateness of the collective competence and capabilities of the engagement team included consideration of the engagement team's:

- understanding of, and practical experience with audit engagements of a similar nature and complexity through appropriate training and participation
- knowledge of the sector in which the charity operates

- understanding of the legal and regulatory requirements specific to the charity.

- In assessing the potential risks of material misstatement, we obtained an understanding of:

Independent Auditor's Report to the Corporate Trustee of UHNM Charity

- The charity's operations, including the nature of its income and expenditure and its services and of its objectives and strategies to understand the classes of transactions, account balances, expected financial statement disclosures and business risks that may result in risks of material misstatement
- The charity's control environment, including the policies and procedures implemented by the charity corporate trustee to ensure compliance with the requirements of the financial reporting framework.

Use of our report

This report is made solely to the charity's corporate trustee, as a body, in accordance with Section 154 of the Charities Act 2011. Our audit work has been undertaken so that we might state to the charity's corporate trustee those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and its



Grant Thornton UK LLP
Statutory Auditor, Chartered Accountants

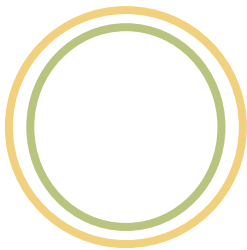
Birmingham
27 January 2023

Grant Thornton UK LLP is eligible to act as an auditor in terms of section 1212 of the Companies Act 2006.



U·H·N·M
charity

Raising funds for Royal Stoke University Hospital and County Hospital, Stafford



Registered Name: University Hospitals of North Midlands
NHS Trust Charity (known as UHNM Charity)
Registered Charity Number: 1054889

Registered Office: Springfield, Trust HQ, Newcastle Road,
Stoke-on-Trent, Staffordshire, ST4 6QG

Telephone: 01782 676444

Website: www.uhnmcharity.org.uk

Facebook: UHNMCharity

Twitter: UHNMCharity

Instagram: UHNMCharity