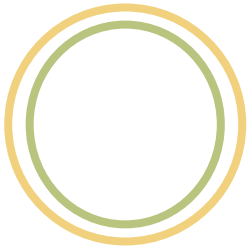




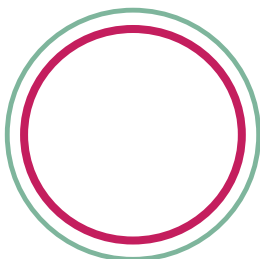
U·H·N·M
charity

Raising funds for Royal Stoke University Hospital and County Hospital, Stafford



Annual Report and Accounts

2022 - 2023



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During April 2022 to 31 March 2023, the Corporate Trustee included the following:

Voting Members



Non-Voting Members



Message from our Chair

- Leigh Griffin



Dear Friends and Supporters,

I am delighted to present the annual report and accounts of our UHNM Charity, highlighting the incredible work and achievements of the past year. Our dedicated Charity Team, alongside the generosity and unwavering support of our community, local businesses and UHNM colleagues, has made a remarkable difference in the lives of those in need.

I extend my heartfelt gratitude to every individual, volunteer, business and partner who has contributed their time, resources, and energy to our cause. Your continued support has enabled us to enhance the quality of care and support provided to patients, service users, their families and loved ones, as well as our amazing UHNM colleagues.

Despite the challenges presented by the ever-evolving healthcare landscape, our commitment to improving patient experiences remains resolute. Through innovative programmes, initiatives, and partnerships, we have made significant strides in addressing critical healthcare needs and enhancing the well-being of our community.

Looking ahead, we are determined to build upon these achievements and continue our mission of providing exceptional care and support to those who need it most. With your ongoing support, we are confident in our ability to make an even greater impact in the coming year and beyond.

Thank you for being an integral part of our journey. Together, we will continue to make a positive difference in the lives of individuals and families in our community.

With warm regards,

Leigh

Leigh Griffin
UHNM Charity Chair



Our Charity

UHNM Charity is the official NHS Charity for both hospitals operated by University Hospitals of North Midlands NHS Trust (UHNM) – County Hospital, Stafford, and the Royal Stoke University Hospital. As such, we touch the lives of everyone in the North Midlands and beyond, no matter their age or condition. Our wish is for our patients to receive the best care in the best possible environment.

Each year we invest in key areas such as equipment, research, patient and family support, staff training and transforming our two hospitals into more comfortable and welcoming environments. The core mission of UHNM Charity is to enhance the care and treatment of patients accessing NHS services by supporting high priority areas which are beyond the scope of government funding. We also support our colleagues working at UHNM to enable them to provide the best care possible.

The Charity's income comes from fundraising, donations, charitable grants, legacies and sponsorship. The Charity employs a small team of professional fundraising professionals. UHNM Charity organises events and supports many fundraisers organising their own events or taking part in organised events, both community and hospital-based throughout the year.

In addition to the UHNM Trust magazine, the main route of communication with donors is via our dedicated website (www.uhnmcharity.org.uk), and social media:



www.facebook.com/UHNMCharity



www.twitter.com/UHNMCharity



www.instagram.com/UHNMCharity

In all our communications, we seek to update supporters about the impact that donations have made, as well as letting people know about upcoming fundraising activities.

The fundraising team would be delighted to speak to anybody who is considering taking part in an event or organising their own fundraising for UHNM Charity, and can be contacted by email

at charity@uhnm.nhs.uk or by telephone at 01782 676444. We also encourage feedback from all of our supporters, sharing any learning amongst the Charity team.

In setting our objectives and planning our activities the Corporate Trustee has given serious consideration to meeting our public benefit commitment and to the enhancement of the care of patients through:

- Enhancing the quality of patient care;
- Improving the environment for patients, visitors and UHNM Colleagues;
- Supporting NHS employee development to enable them to provide excellent clinical and patient-centred care;
- Providing funding for pioneering developments with the potential to impact directly on the treatment and wellbeing of patients; and
- Funding state-of-the-art equipment that improves outcomes for patients.

To achieve these objectives, UHNM Charity operates a transparent grant making process. All UHNM colleagues, from across both hospitals, can apply for funding to provide additional equipment, facilities, staff training and research over and above what the NHS would normally fund. Our plans to deliver this funding include:

- Encouraging UHNM colleagues to submit funding applications and to identify new facilities, training, equipment that the Charity can provide. This has been achieved by Charity team members meeting with teams across the hospitals and Fund Advisers as well as through the submission of charitable funds spending plans; and
- Raising income to provide new facilities, training and equipment by supporting patients, members of the public, UHNM staff and organisations in their fundraising.

In line with our investment policy, surplus monies not required to meet immediate commitments are placed in specialist charity investments to create additional income to achieve the Charity objectives in the future.

The team working for the Charity are employed by UHNM NHS Trust. UHNM Charity reimburses the NHS Trust for these costs.

UHNM Charity Team



Lisa Thomson
UHNM Director of Communications and Charity

Lisa is Director of UHNM Charity and represents the work of the Charity at Board and Executive level.



Steve Rushton
Head of UHNM Charity

Steve leads on developing major appeals and works with the Charity Committee and senior teams to ensure UHNM Charity continues to grow.



Lynn Oldfield
Project Manager

Lynn leads on various projects for the Charity helping to develop and deliver them ensuring maximum uptake and reach.



Joanna Walford
Grant Making Administrator

Joanna works with individuals and teams from across UHNM to advise on and process spending applications.



Becky Bate
Administrator

Becky supports the team with various admin roles and the day-to-day running of the Charity.



Sarah O'Reilly
Fundraising Officer

Sarah makes sure everyone who is fundraising for us is processed and supported.



James Wood
Communications Officer

James promotes the work of the Charity both publicly and within UHNM.

Bankers and Professional Advisers

Bankers
Royal Bank of Scotland
Government Banking Cst
Po Box 2027
Parklands
De Havilland Way
Horwich
Bolton
BL6, 4YU

Auditors
Dains Audit Limited
Suite 2, Albion House
2 Etruria Office Village
Forge Lane
Stoke-on-Trent
ST1 5RQ

Investment Advisers
CCLA Investment Management Ltd
80 Cheapside
London
EC2V 6DZ

Solicitors
Mills and Reeve
78-84 Colmore Row
Birmingham
B3 2AB



Highlights of the Year 2022/2023



UHNM Charity was awarded £500,000 to work with fourteen community and voluntary groups from across Staffordshire to help to tackle loneliness and social isolation as a result of the Covid-19 pandemic. NHS Charities Together awarded the half a million pound grant to UHNM Charity as part of its Community Grants programme.



Kind-hearted people from Stoke-on-Trent and local businesses came together on the greens to raise much needed funds for UHNM Charity. 18 teams took part in the first annual UHNM Charity Golf Day at Barlaston Golf Club raising almost £6,000 to buy cutting edge equipment to benefit patients requiring brain tumour surgery.

More than 30 runners took to the streets of the Potteries in June to run the famous Potters 'Arf Marathon and raise thousands of pounds for UHNM Charity. Former patients along with their friends and families joined members of the UHNM team and other healthcare professionals to tackle the 13.1-mile course to help us improve the patient care and experience at UHNM. Runners took on the course individually or as part of relay teams and there was also a dedicated walker's and children's race.



Staff from across Royal Stoke and County hospitals put on their aprons and picked up their wooden spoons to show their support for the NHS as it celebrated its 74th Birthday. As part of the Big Tea 2022, colleagues from both hospitals participated in the UHNM Bake Off, celebrating the NHS and its 74 years of service. The annual Big Tea event celebrates the work of the NHS, raising funds to support staff and patients and showing our gratitude to the hard working individuals helping our communities.



During the festive period the Charity hosted weekly Festive Live Shows every Tuesday for our patients and staff to enjoy. These showcased live performances from choirs, brass bands and special guests. The Festive Shows took place outside of the main entrance to Royal Stoke and were streamed live throughout Royal Stoke and County hospitals as well as on the Trust's intranet and social media.



UHNM Charity was joined by local businesses and organisations in making it an egg-stra special Easter for our patients and staff. This Easter we launched our 'Eggs for Beds' campaign, with the aim of providing a crème egg for every inpatient and member of UHNM and Sodexo teams working Easter Sunday. Over 2,000 crème eggs were donated to the Royal Stoke and County hospitals by supporters including Stoke City Football Club, the Stoke City Community Trust, Foodhub, LD Property and PREM Management.

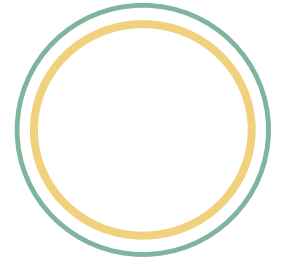
Roll up, roll up! LD Property and WDPS Stoke-on-Trent held their first 'Greatest Ball' at Keele Hall raising £5,500 for UHNM Charity. Attended by a range of local businesses, the black tie event featured circus acts, live music, a three course meal, fundraising raffle and whole host of other entertainment.



Organised by Staffordshire University in partnership with Stoke-on-Trent City Council and a number of local organisations and businesses, the 'Your Heroes Awards' highlights the unsung heroes and communities from across Stoke-on-Trent and Staffordshire. We were delighted to have two 'highly commended' award winners this year, Dr Karen Juggins in the 'NHS Hero' category for her role in the Keep Stoke Smiling Campaign and fundraiser Isaac Rotherham in the 'Child of Courage' category.



Our Fundraisers and Donors



Dr Alison Brind

Colleagues from across UHNM laced up their trainers and came together to join Dr Alison Brind on a fundraising Parkrun for UHNM Charity. The Consultant Gastroenterologist, who has been diagnosed with pancreatic cancer, has now risen over £20,000 for liver disease at UHNM. Teams from Liver, Cancer, Endoscopy and Bowel Cancer Screening were amongst the nearly 700 runners on the day.

Florence Porter-Hargreaves

Along with 18 of her classmates, cystic fibrosis patient Florence Porter-Hargreaves completed a seven and a half mile sponsored walk from Staffordshire Children's Hospital at Royal Stoke to her school, St Luke's Academy in Endon. Speaking about the walk which raised £1,100, mum Dawn said: "The route from Royal Stoke to school is one she'd taken so many times by car, and her wonderful care spurred her on to make it by foot."



UHNM Therapists

Josh, Sarah, Iwan, Joe, Aisling, Callum, Vicky and Rachel, members of Royal Stoke's Specialised Outpatients and Gym Physiotherapy team tackled the Yorkshire Three Peaks Challenge raising almost £1,200 for UHNM Charity. The team scaled the peaks of Pen-y-ghent, Whernside and Ingleborough - a distance of 25 miles in under 12 hours to raise funds towards new equipment in the Musculoskeletal Outpatients and Gym department. Physiotherapist Joshua Parkes said: "We wanted a bit of a physical challenge to improve patient experience."



Keele University Nursing Students

A group of eight nursing students from Keele University hit the heights for UHNM Charity by abseiling from Millers Dale Viaduct in the Peak District. The students challenged themselves as part of a team building exercise and raised almost £600 for the Forget-Me-Not Suite at Royal Stoke. Student Rachel Rimmington said: "This is a cause really close to my heart as my little boy Albie was born in the Suite before passing away just 63 minutes later. The staff were just unbelievably amazing and we couldn't think of a more worthy way to celebrate his second birthday."



Ian Rushton

A Congleton cyclist has peddled the width of the country raising £1,500 for the Unit that provided care to his mum. Ian Rushton spent three days in the saddle cycling from Whitehaven in Cumbria to Tynemouth in North Tyneside in aid of UHNM Charity and the Renal Unit at the Royal Stoke University Hospital. Ian was accompanied on the challenge by friend Chris Ball which saw them take in stunning scenery, bad weather and steep climbs.



Chris Bailey

A fundraiser from Newcastle-under-Lyme ran the Chicago Marathon in a time of three hours and 16 minutes in support of his friend who was diagnosed with cancer. Chris Bailey's friend and running partner, Mark 'Cazzer' Ankers (right), was diagnosed with mouth cancer in May 2022. Chris ran the 26.2 miles around the Windy City raising £4,600 for the Maxillofacial team at UHNM who provided "fantastic care".

Staffordshire University Students

Organised by final year students from Staffordshire University, the 'UHNM Charity Gala' raised almost £4,000 for cardiac services at UHNM. Student Paige Burnam (left), who helped organise the Gala, said: "We chose UHNM Charity as we are all local to the Stoke-on-Trent and Staffordshire area and have witnessed the dedication and hard work that UHNM's delivered both before, during, and after the COVID-19 pandemic." The evening featured a meal, live music and raffle.



Rachel Kitson

A Stafford family returned to the Royal Stoke to thank the nurses, doctors and consultants for their care and present a cheque to UHNM Charity for almost £5,000. Rachel Kitson ran the Manchester Marathon in aid of the Children's Intensive Care Unit (CICU) to thank them for care given to son Ethan following a severe asthma attack in October 2020. It was an emotional return for Rachel and husband Gareth who visited the Unit for the first time since Ethan's stay. Both Ethan and sister Frankie met us with our Charity mascot.



Support from Schools, Businesses and Organisations



Dozens of local businesses and organisations donated hundreds of Easter eggs for the enjoyment of our inpatients.



Twelve local businesses each donated a prize worth at least £500 for our 'Twelve Days of Christmas' competition.



Thanks to WDRP, LD Property services, Tile Central UK and other local businesses, Dolores Blagborough, a nurse at County Hospital, was the winner of a £10,000 kitchen in our Christmas text-to-enter competition.



WDRP and LD Property held their first 'Greatest Ball' at Keele Hall raising £5,500 for UHNM Charity.



The Lord Mayor of Stoke-on-Trent Cllr Chandra Kanneganti's 'Lord Mayor's Ball' contributed towards over £7,000 raised during his year in office.



Members of Trentham Golf Club raised over £8,500 for UHNM Charity through various fundraising events held throughout the year.



The inaugural UHNM Charity Golf Day at Barlaston Golf Club raised almost £6,000 for our work.



Pupils from Blythe Bridge High School continued to support our patients with the donation of 'Hugs in a Bag' containing essential items such as toiletries.



The courtyard at Staffordshire Children's Hospital at Royal Stoke looked bloomin' marvellous thanks to a donation of seeds and compost from Morrisons Newcastle-under-Lyme.



Pupils from Newcastle-under-Lyme School raised £1,400 through a variety of fundraising events including cake sales and craft fairs.



Rather than exchange Christmas presents, staff from Boots in Stafford used the money to make donations to our Neonatal Intensive Care Unit (NICU).



Crayola UK donated a range of stationary to Staffordshire Children's Hospital at Royal Stoke and Older Adults in memory of a former UHNM patient.



Our Impact for Patients

£1,409,400.66
approved for
charitable
purposes

Orbeye 4K Camera

Neurosurgical patients at UHNM are benefiting from a revolutionary orbital camera, which provides neurosurgeons with state-of-the-art 3D views of the brain and spine during surgery.

Thanks to a grant from the Denise Coates Foundation through UHNM Charity, UHNM has become the first NHS trust in the Midlands to have installed the ORBEYE system.

ORBEYE allows neurosurgeons to move on from traditional ocular microscopes and instead operate from a large 4K monitor in real-time.



Critical Care Rehab Garden

The UHNM Charity-funded Critical Care Rehabilitation Garden was officially opened at the Royal Stoke University Hospital.

The garden has been specially designed to provide patients with a safe haven away from the clinical environment of the Critical Care Unit to help with orientation, engagement and to shorten their recovery period.

The ribbon on the garden was cut by former Critical Care patient Grace Hopkins from Trentham.



8
grants approved for
events and training

Disability Facilities

Patients and visitors with a disability or mobility problems are to benefit from newly opened facilities at County Hospital.

UHNM Charity has funded a newly refurbished 'changing place' toilet at the hospital in Stafford.

The large space is equipped with changing benches and hoists and will provide a dignified and secure area for people and their carers to use.

The new facilities also include features such as bins fitted with sensors that automatically open and more accessible shelves and mirrors.



77
grants approved
for new
equipment

Breastfeeding Improvements

The hospital experience of breastfeeding mothers has been improved thanks to UHNM Charity funding.

Staffordshire Children's Hospital at Royal Stoke has been able to purchase new equipment aimed at making breastfeeding a more relaxed and comfortable experience.

Equipment including breast pumps, sterilisers and recliner chairs is now being utilised in areas across the Children's Hospital including Children's A&E, medical wards and day cases.



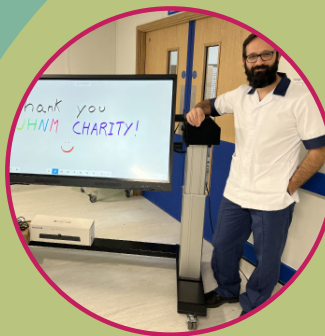
163
approved
grants

Stroke Interactive Screens

A new interactive touchscreen is helping to speed up the recovery time of stroke patients, thanks to UHNM Charity and its supporters.

The Acute Stroke Unit at Royal Stoke has been able to purchase a Clevertouch Impact Plus, a 55-inch screen featuring dedicated software that helps to increase the intensity of cognitive rehabilitation. This helps speed up recovery and discharge from hospital.

Patients can use the specially designed inbuilt apps and games to improve their hand and arm dexterity as well as vision.



4
grants
approved for
research

Neonatal Family Rooms and Bereavement Suite

Patients receiving cancer treatment at Staffordshire Children's Hospital at Royal Stoke are benefitting from a cutting-edge interactive sensory projector thanks to charity funding.

Following a grant from UHNM Charity, patients on the Children's Oncology Unit are seeing their mobility improved during treatment as a result of using the equipment.

The omiVista Mobii 3 projects interactive sensory content including games and moving imagery onto flat surfaces such as the floor and tables.

Patients interact with this content through touch—either with hands or feet.



19
grants approved for
patient literature /
information



Key Operational Milestones

During the year a major donor programme launched including activities with the Chamber of Commerce. The Charity is now part of and attends key network meetings which includes promoting its work through the Chamber magazine.

A monthly E-newsletter shares with supporters how the funds they raise are being spent and the difference it is making to the people we serve.

A fundraising events planner has been developed and published with exciting events including a cycle ride, community cricket and a football and family fun tournament.

Following on from the success of the Charity's involvement in the Potters 'Alf, the Charity Team is working with the organisers and local clubs to get even more people involved in supporting and running for UHNM Charity.

To support the delivery of the UHNM strategy, key operational milestones have been developed.

The first year's key areas of the Operational Plan to support UHNM Charity strategy for 2021/22 was developed and was completed. It encompassed:

Local businesses are being invited to join the UHNM Charity 500 Club

We are thrilled that for the first time UHNM Charity now has a prime location at County Hospital enabling the Charity Team to provide even more support to anyone wanting to raise funds and make a difference.

The second UHNM Golf Day surpassed all expectations raising more than double on the previous year

Building on the network of loyal supporters, the Charity is launching its 'Do Something Epic' campaign and join our Charity Heros.

During 2023/24 the Charity team will build on this foundation to increase both the number of fundraises and the income generated.

Leave a legacy to UHNM Charity

By leaving a Gift to UHNM Charity you are helping to save and transform the lives of future generations of patients. A gift costs nothing in your lifetime and makes a difference for years to come.

Here at UHNM Charity, we provide support to patients and their families at University Hospitals of North Midlands NHS Trust through both legacies and fundraising. Thanks to the generosity and consideration of our supporters, we have purchased world class equipment, improved the environment and facilities, as well as helped to enhance NHS services for the benefit of people who work or are cared for at Royal Stoke University Hospital and County Hospital, Stafford.

Without the generosity of our supporters this simply would not have been possible.

If you have any further questions, please contact UHNM Charity on 01782 676444 or email charity@uhnm.nhs.uk

Donate to UHNM Charity

No matter how large or small, every gift will help make a difference to patients and staff at the University Hospitals of North Midlands. There are many ways in which you can donate:

Online

You can make a donation securely online at www.uhnmcharity.org.uk

By post

Send a cheque or CAF Voucher to us by post. Cheques need to be made payable to 'UHNM Charity'. Please enclose a covering letter telling us if there is a particular area of the hospital you would like to support.

UHNM Charity
Royal Stoke University Hospital
Newcastle Road
Stoke on Trent
ST4 6QG

By telephone

Call us on (01782) 676444
(And do not forget to have your credit or debit card ready!)



Trustees' Report

The Corporate Trustee is pleased to present the University Hospitals of North Midlands Charity (UHNM Charity) Annual Report together with the Audited Financial Statements for the year ended 31 March 2023. These accounts have been prepared in accordance with the Charities Act 2011 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102).

Legal Structure

University Hospitals of North Midlands NHS Trust is the Corporate Trustee of funds held on trust under powers given to it by s212 to s222 of the National Health Service Act 2006. The funds held on trust are registered as University Hospitals of North Midlands Charity with the Charity Commission under registration number 1054889.

Management of the Charity

The Trust Board of University Hospitals of North Midlands NHS Trust (the Trust) is the Corporate Trustee of UHNM Charity. All voting Executive and Non-Executive Directors of University Hospitals of North Midlands NHS Trust take decisions collectively as agents of the Trustee and receive training through Board Development sessions.

The directors of the Corporate Trustee are therefore not themselves Trustees even though they are sometimes referred to internally as the Trustees. The Charity is separate from the Trust and independent of it, but it aims to work closely with the Trust in order to achieve its charitable objectives. The Corporate Trustee delegates certain responsibilities to agents and/or employees but always retains the ultimate responsibility for the management of the Charity.

The Corporate Trustee has established a Charitable Funds Committee to oversee the Charity's operation on its behalf. The Committee scrutinises and constructively challenges the Charity's operations and financial information and systems of control, including the Annual Accounts, to provide assurance to the Trustee Board that the administration of charitable funds is distinct from its exchequer funds and compliant with legislation and Charity objectives.

The Charitable Funds Committee meets regularly and reports to the Corporate Trustee, which approves major decisions and remains responsible for the activities of the Charity. The day-to-day operations of the Charity are overseen by the Trust's Director of Communications and Charity.

Objectives

The main objectives of the Trustees is to accept, hold and administer any property on trust for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the service provided by University Hospitals of North Midlands NHS Trust. The funds of the Charity accumulate from the receipt of donations and legacies together with the returns on investments. The funds are used above basic NHS provision to enhance the care of patients through the provision of new or enhanced facilities, state of the art equipment, and innovative medical research and skilled employees (through welfare, learning and development).

Investment Policy

Surplus monies not required to meet immediate commitments are currently invested in COIF Charity Funds, which are common investment and deposit funds established under section 96 of the Charities Act 2011. The administrative functions for the COIF Charity Funds have been delegated to CCLA, whose ethical and social investment policies support the UHNM Charity's own investment policy. The portfolio has performed in line with the overall stock market conditions during the financial year with performance continually monitored by the Charitable Funds Committee.

Reserves Policy

The Corporate Trustee has agreed a Reserves Policy to ensure that donations are spent within a reasonable period from receipt whilst also protecting the financial viability of the fund. The agreed minimum level of reserves is a balance sufficient to cover normalised expenditure for three years and therefore protects the spending plans of the charity against falls in fundraising income, legacies and investment values. Covering running costs in this way gives the Charitable Funds Committee a reasonable timescale to ensure that appropriate management action can be taken to consider alternative funding sources and marketing strategies to improve its position if necessary. It also allows sufficient time for the Charity to process on-going commitments and to meet the cost of closure or transfer of the Charity's affairs should the need ever arise. As at 31 March 2023 UHNM had unrestricted reserves of £7million which exceeds the amount needed to cover the agreed three years operational and governance costs. The Corporate Trustee is committed to reducing the excess over the next three years in a way which gives optimum benefit to patients. The Charity Team is working with fund advisors on spending plans for their particular clinical areas that are in line with both charitable and Trust objectives. The Trust is currently in the process of developing its

clinical services strategy and a refresh of its overarching strategy which once completed will help signpost the areas where charitable investment might give the best long term benefits to patients. The Corporate Trustee is also seeking proposals for some potentially higher cost schemes associated with developing specialist services such as cancer and childrens.

Risk Policy

The major risks to which the Charity could be exposed have been identified and considered and systems developed to minimise those risks. The most significant risks have been identified as:

1. Losses from a fall in the value of the investments due to volatility in world markets; and
2. Fraud.

The Committee is satisfied that the Charity's Investment Policy together with robust financial procedures, including the regular monitoring of investments, minimises the investment risks. Fraud is controlled by robust financial policies and procedures adopted by the Corporate Trustee.

Expenditure Approval Policy

As a grant making charity, each application is considered on its own merits for appropriateness against the Charity and any specific fund objectives, and it must comply with the Charity Commission funding criteria and UHNM Charity Policy. All grant applications are subject to approval by the NHS Trust (to confirm they can benefit from the grant and meet any on-going liabilities) and the Corporate Trustee Board or its appointed officers. All research grants are only awarded if approved by the Ethics Committee and results must be made available within the public domain.

Statement of Trustee's Responsibility

Under charity law, the Trustee is responsible for preparing the Trustee's Report and Financial Statements for each financial year which show a true and fair view of the state of affairs of the Charity and of the Charity's financial position for the period.

In preparing these financial statements, generally accepted accounting practice entails that the Trustee:

- Selects suitable accounting policies and then applies them consistently;
- Makes judgements and estimates that are reasonable and prudent;
- States whether the financial statements comply with applicable accounting standards and statements of recommended practice, subject to any material departures disclosed and explained in the financial statements;
- Prepares the financial statements on the going concern basis unless it is inappropriate to presume that the Charity will continue its activities.

The Trustee is required to act in accordance with the Trust Deed of the Charity, within the framework of trust law. It is responsible for keeping proper accounting records, sufficient to disclose at any time, with reasonable accuracy, the financial position of the charity at that time, and to enable the Trustee to ensure that, where any statements of accounts are prepared by it under s132(1) of the Charities Act 2011, those statements of accounts comply with the requirements of the regulations under that provision. It has general responsibility for taking such steps as are reasonably open to it to safeguard the assets of the Charity and to prevent and detect fraud and other irregularities.

The Trustee is responsible for the maintenance and integrity of the financial and other information included on the Charity's website. Legislation in the UK governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

The Trustee confirms that it has met the responsibilities set out above and complied with the requirements for preparing the Trustee's Report and the Financial Statements. The Financial Statements set out in this document have been compiled from and are in accordance with the financial records maintained by the Trustee.

In exercising its powers and responsibilities the Trustee has complied with its duty to have due regard to the Guidance on Public Benefit published by the Charity Commission.

Related Party Transactions

University Hospitals of North Midlands NHS Trust is a related party as it is both the Trustee and the recipient of grants made by the Charity. Note 1.11 of the Accounts discloses information about any related party transactions.

Fundraising

In relation to Charities Act 2011, which requires disclosures to be made in respect of fund-raising activities, UHNM Charity has a nil disclosure. UHNM Charity does not employ external professional commercial fundraisers or fundraising organisations. Any individual fundraising for the Charity is provided with an official fundraising pack and supported by the Charity Team to ensure all standards of fundraising are met. These are available on the Charity website. No complaints have been received from fundraisers or donors and the Charity Team works closely to support all of those individuals choosing to raised funds.

The trustees report was approved by:

David Wakefield
Date: 22 January 2024

Financial Statements for the Period 1 April 2022 to 31 March 2023

Statement of Financial Activities (Including Income and Expenditure Account) for University Hospitals of North Midlands Charity for the Year Ended 31 March 2023

	Note	Unrestricted Funds		Restricted Funds		Total Funds	
		2022/23	2021/22	2022/23	2021/22	2022/23	2021/22
Income from:	2	£000	£000	£000	£000	£000	£000
Donations	2.1	248	273	4,390	4,025	4,638	4,298
Legacies	2.2	801	542	241	96	1,042	638
Other trading activities	2.3	227	193	3	0	230	193
Investments	2.4	325	179	62	50	387	229
Other income	2.5	0	0	304	0	304	0
Total Income		1,601	1,187	4,999	4,171	6,600	5,358
Expenditure on:	3						
Charitable activities	3.1	615	698	5,054	3,098	5,669	3,796
Raising funds	3.2	269	183	0	2	269	185
Other expenditure	3.3	0	0	271	0	271	0
Total expenditure	3.4	884	881	5,325	3,100	6,209	3,981
Net gains/ (losses) on investments	4	(245)	480	(47)	133	(292)	613
Net Income		472	786	(373)	1,204	99	1,990
Transfers between funds	9	111	0	(111)	0	0	0
Net movement in Funds		583	786	(484)	1,204	99	1,990
Reconciliation of funds:							
Total funds Brought forward		6,405	5,619	2,438	1,234	8,843	6,853
Total funds carried forward		6,988	6,405	1,954	2,438	8,942	8,843

The statement of financial activities includes all gains and losses recognised in the year.

All income and expenditure is derived from continuing activities.

Included within Other Income is £0.3m which represents the first tranche of income in relation to the NHS Charities Together scheme. During 2022/23 the Charity was selected as lead Charity for this scheme and submitted bids totalling £0.5m on behalf of a number of charities in the local community, of which 14 were successful. Associated expenditure of £0.3m is included in Other Expenditure and represents amounts paid across from the Charity to the community charities.

Chairman
Date: 22 January 2024

Balance Sheet for University Hospitals of North Midlands Charity as at 31 March 2023

	Note	Unrestricted Funds 31-Mar-23 £000	Restricted Funds 31-Mar-23 £000	Total Funds 31-Mar-23 £000	Total Funds 31-Mar-22 £000
Non-current assets					
Investments	6	5,627	1,795	7,422	7,714
Total non-current assets		5,627	1,795	7,422	7,714
Current assets					
Debtors	7.1	342	1	343	225
Cash at bank and in hand	7.2	1,696	5,612	7,308	4,323
Total current assets		2,038	5,613	7,651	4,548
Liabilities					
Creditors falling due within one year	8.1	(675)	(2,312)	(2,987)	(3,247)
Net current assets		1,363	3,301	4,664	1,301
Total assets less current liabilities		6,990	5,096	12,086	9,015
Creditors:					
Amounts falling due after more than one year	8.2	(2)	(3,142)	(3,144)	(172)
Net assets		6,988	1,954	8,942	8,843
Funds of the Charity					
Restricted income funds	9	0	1,954	1,954	2,438
Unrestricted income funds		6,988	0	6,988	6,405
Total Funds		6,988	1,954	8,942	8,843

The notes on pages 21 to 27 form part of this account

The date of approval of the accounts by the Trust Board was 22 January 2024.

Chairman
Date: 22 January 2024



Cash flow statement for the year ended 31 March 2023

	Note	Total Funds 2022/23 £'000	Total Funds 2021/22 £'000
Reconciliation of net income to net cash flow from operating activities			
Net income for the reporting period (as per the Statement of Financial Activities)		99	1,990
Adjustments for:			
(Gains)/losses on investments	4	292	(613)
Interest from Investments		(387)	(229)
(Increase) in debtors		(118)	(146)
Increase in creditors		2,712	1,403
Net cash provided by operating activities		2,598	2,405
Cash flows from operating activities:			
Net cash provided by operating activities		2,598	2,405
<i>Cash flows from investing activities:</i>			
Interest from Investments	2.5	387	229
Net cash used in investing activities		387	229
Increase in cash and cash equivalents in the reporting period		2,985	2,634
Cash and cash equivalents at 1 April		4,323	1,689
Cash and cash equivalents at 31 March		7,308	4,323
Analysis of cash and cash equivalents:			
Cash in hand		7,308	4,323
Total cash and cash equivalents		7,308	4,323

Notes to the Financial Statements

1 Accounting Policies

1.1 Basis of preparation

UHNM Charity (the Charity) has prepared its financial statements for the year ended 31 March 2023.

The Charity constitutes a public benefit entity as defined by FRS102. The accounts (financial statements) have been prepared in accordance with the Charities SORP (FRS 102) which is the Statement of Recommended Practice applicable to Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102), and the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102) and the Charities Act 2011 and UK Generally Accepted Practice as it applies from January 2019.

1.2 Income recognition

All incoming resources are included in full in the Statement of Financial Activities as soon as the following three factors can be met:

- i) entitlement - arises when a particular resource is receivable or when the Charity's right becomes legally enforceable;
- ii) probable - it is more likely than not that the economic benefits associated with the transaction or gift will flow to the Charity; and
- iii) measurement - when the monetary value of the incoming resource can be measured with sufficient reliability

1.3 Legacies

Legacies are accounted for as incoming resources once the receipt of the legacy becomes probable. This will be once confirmation has been received from the representatives of the estates that payment of the legacy will be made or property transferred, and once all conditions attached to the legacy have been fulfilled.

1.4 Expenditure recognition

The Charity's accounts are prepared in accordance with the accruals concept. All expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party.

a) Cost of generating funds

The cost of generating funds are the costs associated with generating income for the Charity.

b) Grants payable

Grants payable are payments made to third parties (including NHS bodies) in the furtherance of the Charity's objectives, which are to relieve those who are sick. Grants are accounted for on an accruals basis where the conditions for their payment have been met or where a third party has a reasonable expectation that they will receive the grant. This includes grants paid to NHS bodies and relevant allocation of support costs. The majority of grant payments made by the charity have been made to University Hospitals of North Midlands NHS Trust (the Trust).

c) Governance costs

These are accounted for on an accruals basis and are recharges of appropriate proportions of costs from the Trust.

1.5 Structure of funds

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified in the accounts as a restricted fund. Funds where the capital is held to generate income for charitable purposes and cannot itself be spent are accounted for as endowment funds and the Charity does not currently hold any such funds. Other funds are classified as unrestricted funds. Funds which are not legally restricted but which the Trustees* have chosen to earmark for set purposes are classified as designated funds. The major funds held are disclosed in note 9.

* the Charity has a Corporate Trustee, which is the UHNM Trust Board. For the purposes of these financial statements references to 'Trustees' refer to members of the Corporate Trustee.



Notes to the Financial Statements

1.6 Investment Assets

The Trust does not hold any investment assets.

1.7 Realised gains and losses

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening market value (or date of purchase if later). Unrealised gains and losses are calculated as the difference between market value at the year end and opening market value (or date of purchase if later).

1.8 Change in the Basis of Accounting

There has been no change in the basis of accounting during the year.

1.9 Prior Year Adjustments

There has been no change to the accounts of prior years.

1.10 Pooling Scheme

An official pooling scheme is operated for investments held by the Charity. The scheme was registered with the Charity Commission on 31st March 1998.

1.11 Related party transactions

The Trust is the Charity's only related party. During the year none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the Trust outside their role as employees of the Trust.

During the year none of the Trustees have received any further remuneration or reimbursement of expenses for the provision of their services as a Trustee of the Charitable Fund outside their role as employees of the Trust.

The Charity has made revenue and capital payments to the Trust where the Trustees are also members of the Trust Board.

In year transactions amounted to £2.102m (2021/22: £3.289m). A balance of £0.78m was outstanding at the year end (2021/22: £2.193m) and this is included within creditors falling due within one year.

1.12 Denise Coates Foundation

In April 2020 the Charity was given the opportunity to apply for grants of up to £10m by the Denise Coates Foundation to support staff and patients during the on-going COVID-19 pandemic. The Charity submitted successful applications to the Foundation and has received total income of £10.263m across the last three years (£4.362m in 2022/23; £4.0m in 2021/22 and £1.9m in 2020/21). The financial statements include the income, expenditure and a restricted fund balance relating to schemes fully approved.

1.13 Commitments

Applications for expenditure are treated as commitments in the financial statements once approval has been granted and this outcome has been confirmed with the recipient by the year-end, which creates a constructive obligation. If there is uncertainty as to whether the recipient will be able to proceed with the proposal and the payment becomes possible but not probable, then a liability for the commitment is not recognised. Instead, the funding commitment should be disclosed as a contingent liability.

1.14 Going concern

The financial statements have been prepared on a going concern basis as the Trustees believe that no material uncertainties exist.

1.15 Post Balance Sheet Events

There are no post balance sheet events.

1.16 Contingencies

Contingent assets (that is, assets arising from past events whose existence by one or more future events not wholly within the entity's control) are not recognised as assets but are disclosed in note 11 where an inflow of economic benefit is probable.

Notes to the Financial Statements

2 Income

2.1 Donations

	2022/23			2021/22		
	Unrestricted £'000	Restricted £'000	Total £'000	Unrestricted £'000	Restricted £'000	Total £'000
'In memory of' donations	130	4	134	119	2	121
Recognition of Care donations	31	0	31	65	0	65
Collections	6	0	6	5	0	5
Breast Care for Magseed	0	0	0	15	0	15
Daisy Chain Fund	32	0	32	0	0	0
Denise Coates Foundation	0	4,362	4,362	0	4,000	4,000
NHS Charities Together	0	22	22	0	22	22
Other donations	49	2	51	69	1	70
Total donations	248	4,390	4,638	273	4,025	4,298

2.2 Legacies

	2022/23			2021/22		
	Unrestricted £'000	Restricted £'000	Total £'000	Unrestricted £'000	Restricted £'000	Total £'000
Legacies	801	241	1,042	542	96	638
Total for the year	801	241	1,042	542	96	638

2.3 Income from other trading activities

	2022/23			2021/22		
	Unrestricted £'000	Restricted £'000	Total £'000	Unrestricted £'000	Restricted £'000	Total £'000
Charity events and fundraising	227	3	230	193	0	193
Total for year	227	3	230	193	0	193

Income from other trading activities comprises of funds raised through various sporting and other fundraising events as well as income from the Staff Lottery and Fresh Hair retail sales.

2.4 Investment income

	2022/23			2021/22		
	Unrestricted £'000	Restricted £'000	Total £'000	Unrestricted £'000	Restricted £'000	Total £'000
Income from investments was received as below:						
Income from other investments	191	36	227	176	49	225
Bank interest	134	26	160	3	1	4
Total for year	325	62	387	179	50	229

2.5 Other income

	2022/23			2021/22		
	Unrestricted £'000	Restricted £'000	Total £'000	Unrestricted £'000	Restricted £'000	Total £'000
NHS Charities Together (Community Partnerships)	0	304	304	0	0	0
Total for year	0	304	304	0	0	0

The £0.3m represents the first tranche of income in relation to the NHS Charities Together scheme. During 2022/23 the Charity was selected as lead Charity for this scheme and submitted bids totalling £0.5m on behalf of a number of charities in the local community, of which 14 were successful and the Charity was awarded with the full amount. Associated expenditure of £0.3m is included in Note 3.3 Other Expenditure and represents amounts paid across from the Charity to the community charities.



Notes to the Financial Statements

3 Expenditure

	2022/23			2021/22		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
3.1 Charitable activities	£'000	£'000	£'000	£'000	£'000	£'000
Patient welfare and amenities	307	137	444	185	2	188
Staff welfare and amenities	47	11	58	17	20	36
Research	10	0	10	(36)	0	(36)
Enhancement of NHS care	89	4,869	4,958	364	3,030	3,394
Support costs	162	37	199	167	47	214
Total	615	5,054	5,669	697	3,099	3,796
3.2 Raising Funds						
Fundraising costs	234	0	234	176	0	176
Support costs	35	0	35	7	2	9
Total	269	0	269	183	2	185
3.3 Other expenditure						
NHS Charities Together (Community Partnerships)	0	271	271	0	0	0
Total	0	271	271	0	0	0
3.4 Total expenditure	884	5,325	6,209	880	3,101	3,981

Included in patient welfare and amenities is £0.058m spend on 12 months for hospital Wi-Fi and £0.054m on the development of patient information services from the Denise Coates Foundation.

Included in Enhancement of NHS care is capital projects and spend on the purchase of medical and computer systems. During the year £0.15m has been spent on a CARTO mapping systems. The Denise Coates Foundation has funded an Ultrasound and probes costing £0.259m with further commitments of £0.045m in respect of this. There is spend of £0.155m relating to the Cancer Centre reconfiguration and a further commitment of £3.518m funded by the Denise Coates Foundation and held in restricted funds.

The Charity has no direct employees and staff responsible for the administration of the Charity are employees of the Trust. A recharge is made by the Trust to the Charity to reflect the cost of administering the Charity. Staff costs for the period amounted to £0.185m (£0.177m in 2021/22) and are apportioned between expenditure on raising funds and charitable activities.

Included within Other Expenditure is £0.3m which represents the first tranche of payments to community charities in relation to the NHS Charities Together scheme, as detailed in note 2.5.

4 Net gains on investments

	2022/23	2021/22
	£'000	£'000
Unrealised gains/ (losses) on investments	(292)	613
Net unrealised gains for the year	(292)	613

5 Net income for the year

This is stated after charging:

	2022/23	2021/22
	£'000	£'000
Auditor's remuneration:		
Audit fees	12	12
Total	12	12

Audit fees are included within Support Costs in Note 3 - Expenditure.

Notes to the Financial Statements

6 Analysis of Investments

	2022/23	2021/22
	£'000	£'000
Fixed Asset Investments:		
Opening Market value 1 April	7,714	7,101
Net (loss)/gain on revaluation	(292)	613
Closing Market value at 31 March	7,422	7,714

The historical cost of the investments is £4.645m (£4.645m in 2021/22), and the market value as at 31 March 2023 was £7.422m (£7.714m in 2021/22).

At 31st March 2023 the mid market value of one Charities Investment Fund Income unit was 1,873.66 pence (1,947.35 pence as at 31st March 2022).

7 Current assets

7.1 Debtors (falling due within one year)

	2022/23	2021/22
	£'000	£'000
Interest including bank interest	81	58
Tax credits	9	15
Debtors	253	152
Total	343	225

7.2 Cash at Bank and in hand

	2022/23	2021/22
	£'000	£'000
Cash held at Royal Bank of Scotland	7,308	4,323
Total	7,308	4,323

8 Liabilities

8.1 Creditors (falling due within one year)

	2022/23	2021/22
	£'000	£'000
Creditors	2,987	3,247
Total	2,987	3,247

Creditors include the current committed expenditure of £1.96m (£0.839m in 2021/22)

8.2 Creditors (falling due after more than one year)

	2022/23	2021/22
	£'000	£'000
Creditors	3,144	172
Total	3,144	172

Creditors include the non-current committed expenditure of £3.144m (£0.172m in 2021/22).



Notes to the Financial Statements

9 Funds

Designated funds

The income funds of the Charity includes designated funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the Trust.

The table below shows all funds with a closing balance of greater than £25,000.

Fund	Opening	Incoming	Resources	Gains and	Transfers	Closing Balance
	Balance					
	1st April 2022	Resources	Expended	losses between funds	Transfers	31st Mar 2023
	£'000	£'000	£'000	£'000	£'000	£'000
Cancer Centre Fund	994	275	(38)	(40)	9	1,200
UHNM General Fund	594	317	(362)	(23)	57	584
UHNM Heart Fund	522	78	(31)	(20)	4	553
General Cancer Research Fund	322	15	(9)	(12)	3	319
Renal Fund	266	28	(9)	(10)	2	277
UHNM Research and Development Fund	244	45	(11)	(9)	2	270
UHNM Critical Care Fund	232	80	(20)	(9)	2	286
UHNM EMERGENCY APPEAL	230	11	(9)	(8)	5	230
Neonatal Intensive Care Unit	225	96	(26)	(9)	3	289
County Fundraising Appeal	204	10	(6)	(7)	2	202
UHNM Children's Centre & Services	188	94	(114)	(8)	2	163
UHNM Trauma and Orthopaedic Fund	181	88	(6)	(8)	2	256
UHNM Fresh Hair Fund	179	18	(18)	(6)	2	175
Respiratory Fund	176	16	(24)	(6)	2	165
County Cancer & Haematology Fund	139	13	(4)	(5)	1	144
County General Fund	125	6	(46)	(4)	12	82
Cystic Fibrosis	109	19	(4)	(4)	1	121
UHNM Obstetric Fund	104	11	(16)	(4)	1	96
RSUH General Fund	101	30	(34)	(4)	1	94
UHNM Breast Care Fund	100	103	(17)	(5)	1	181
UHNM Stroke & AU Fund	87	17	(10)	(3)	1	92
UHNM Diabetes Fund	82	4	(4)	(3)	1	80
Urology	67	4	(2)	(2)	1	67
UHNM Neurosciences IP Fund	61	55	(2)	(3)	1	112
Imaging Fund	59	8	(3)	(2)	1	62
General Medical Fund	55	4	(2)	(2)	0	56
Pot luck patient benefit fund	55	19	(11)	(2)	0	61
Gastro & Endoscopy Fund	53	29	(3)	(2)	0	77
Vascular	52	3	(3)	(2)	0	50
Emergency Care Centre	47	8	(6)	(2)	0	48
UHNM Gynaecology Fund	45	2	(1)	(2)	0	44
Eye Fund	42	5	(1)	(2)	0	45
Elderly Care Fund	40	12	(3)	(2)	0	48
Cancer Research	39	9	(1)	(2)	0	46
UHNM Neurosciences OP Fund	37	4	(1)	(1)	0	39
Art for Life	33	2	(1)	(1)	0	33
Gordon Banks-In Safe Hands	32	2	(1)	(1)	0	32
GI Unit	32	3	(1)	(1)	0	33
UHNM Specialised Surgery	29	7	(4)	(1)	0	31
Sleep and Ventilation Fund	27	1	(1)	(1)	0	27
Oral Surgery	19	19	(1)	(1)	0	36
All other designated funds (69)	176	29	(19)	(7)	2	182
Total Designated Funds	6,405	1,601	(884)	(245)	111	6,988

The number of designated funds at 31st March 2023 was 110 (110 at 31 March 2022).

There has been a transfer of £0.048m between UHNM General Fund and the Denise Coates Foundation in respect of prior year costs. There has been a further transfer of £0.71m between the Denise Coates fund and the other funds included in the apportionment in respect of the prior year apportionment.

Restricted Funds

The income funds of the Charity include restricted funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for specific purposes.

The table below shows all funds with a closing balance of greater than £25,000.

	Balance	Incoming	Resources	Gains and	Transfers	Balance
	1st April 2022	Resources	Expended	losses between funds	31st Mar 2023	Balance
	£'000	£'000	£'000	£'000	£'000	£'000
North Staffs Heart Committee	769	291	(175)	(32)	6	859
Denise Coates Foundation	1,272	4,362	(4,856)	0	(119)	659
UHNM Emergency Appeal	247	35	(19)	(10)	1	254
Chemotherapy Legacy	114	5	(3)	(4)	1	113
NHS Charities Together	0	304	(271)	0	0	33
All other restricted funds (3)	36	2	(1)	(1)	0	36
Total Restricted Funds	2,438	4,999	(5,325)	(47)	(111)	1,954

The number of restricted funds at 31st March 2023 was 8 (7 at 31 March 2022).

There has been a transfer of £0.048m between UHNM General Fund and the Denise Coates Foundation in respect of prior year costs. There has been a further transfer of £0.71m between the Denise Coates fund and the other funds included in the apportionment in respect of the prior year apportionment.

Total Funds	Total Designated Funds	Total Restricted Funds	Total Funds
	6,405	1,601	(884)
	2,438	4,999	(5,325)
	8,843	6,600	(6,209)
			(245)
			(47)
			111
			(111)
			0
			8,942

Notes to the Financial Statements

10 Commitments

The funds include the following commitments:

	2022/23	2021/22
	£'000	£'000
Cancer centre reconfiguration	535	0
Cancer centre reconfiguration over 1 year	2,983	0
Charitable projects - other	796	642
Charitable projects over one year	161	172
Capital	629	197
Total commitments	5,104	1,011

The Trustees recognise liabilities in the accounts once there is either a legal or constructive obligation to spend the funds. Commitments of £5.104m (£1.011m in 2021/22) have been included within Creditors, as shown in notes 8.1 and 8.2.

Applications for expenditure are accounted for on an accruals basis. A commitment is created where approval is granted and a constructive obligation has been created. All of the commitments in 2022/2023 and 2021/22 relate to grants payable.

There is currently £0.154m remaining relating to maintenance commitments, of which £0.122m is included within creditors due after more than one year. £0.15m of the maintenance commitments relate to the Surgical Robot funded by the Denise Coates Foundation. There is a further commitment to training costs also funded from the Denise Coates Foundation of £0.052m of which £0.039m is included in creditors due after more than one year.

11 Contingent assets

As at 31st March 2023 the Charity has no contingent assets. In 2021/22 £70k was recognised as a contingent asset at the reporting date in respect of a legacy where payment was reliant on approval from the estate's executor and two contingent assets which were reliant on the completion of property sales and the amounts were uncertain.

12 Five year summary of Income and Expenditure

Financial year ended	2018/19	2019/20	2020/21	2021/22	2022/23
	£'000	£'000	£'000	£'000	£'000
Total Incoming Resources	1,854	1,303	3,229	5,358	6,600
Total Resources Expended	(1,493)	(1,896)	(3,541)	(3,981)	(6,209)
Gains/(losses) on Investment assets	444	(192)	1,216	613	(292)
Net movement in funds	805	(785)	904	1,990	99

Key income and expenditure movements:

i) On average, the Charity received £1.6m of income in each of the above five years excluding Denise Coates Foundation donations received in 2020/2021 (£1.9m), 2021/2022 (£4.0m), and 2022/2023 (£4.4m); and NHS Charities Together Community Partnerships income of £0.3m received in 2023.

ii) On average, the Charity spent £1.5m in each of the above five years excluding Denise Coates Foundation funded projects in 2020/2021 (£1.7m), 2021/2022 (£3.1m), and 2022/2023 (£4.8m); and NHS Charities Together Community Partnerships expenditure of £0.3m in 2023.

iii) Gains and losses on investments are not within the Charity's control and are dependent on the prevailing market conditions which impact on the investment portfolio managed by our investment managers.

The table below shows the underlying income and expenditure of the Charity net of the items described in points i) to iii) above.

Five year summary of Underlying Income and Expenditure

Financial year ended	2018/19	2019/20	2020/21	2021/22	2022/23
	£'000	£'000	£'000	£'000	£'000
Total Incoming Resources	1,854	1,303	1,328	1,358	1,934
Total Resources Expended	(1,493)	(1,896)	(1,875)	(922)	(1,141)
Net movement in funds	361	(593)	(547)	436	793

This analysis demonstrates that the Charity has maintained its underlying fund balances across the five year period, with a net increase in funds of £0.2m relating to the sources of income and expenditure that will be continuing as part of the regular ongoing activity of the Charity. As the Charity has total fund balances of £8.8m, the Charity and Trustees will work with the Trust to encourage and develop spending plans within the forthcoming year.

The date of approval of the accounts by the Trust Board was the 22 January 2024.



Independent Auditor's Report to the Corporate Trustee of UHNM Charity

Independent Auditor's Report to the Trustees of University Hospitals North Midlands Charity

Opinion

We have audited the financial statements of University Hospitals of North Midlands Charity ('the charity') for the year ended 31 March 2023 which comprise the Statement of Financial Activities, Balance Sheet, the statement of cash flows and the notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102 *The Financial Reporting Standard applicable in the UK and Republic of Ireland* (United Kingdom Generally Accepted Accounting Practice).

In our opinion the financial statements:

- give a true and fair view of the state of the charity's affairs as at 31 March 2023, and of its incoming resources and application of resources, including its income and expenditure, for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of the Charities Act 2011.

Basis for opinion

We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the Auditor's responsibilities for the audit of the financial statements section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Conclusions relating to going concern

In auditing the financial statements, we have concluded that the Trustees' use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the Charity's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

Our responsibilities and the responsibilities of the Trustees with respect to going concern are described in the relevant sections of this report.

Other information

The trustees are responsible for the other information. The other information comprises the information included in the trustees' report, other than the financial statements and our auditor's report thereon. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters in relation to which the Charities (Accounts & Reports) Regulations 2008 requires us to report to you if, in our opinion:

- the information given in the financial statements is inconsistent in any material respect with the trustees' report; or
- sufficient accounting records have not been kept; or
- the financial statements are not in agreement with the accounting records; or
- we have not received all the information and explanations we require for our audit.

Responsibilities of trustees

As explained more fully in the trustees' responsibilities statement, the trustees are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as the trustees determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustees are responsible for assessing the charity's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the trustees either intend to liquidate the charity or to cease operations, or have no realistic alternative but to do so.



Independent Auditor's Report to the Corporate Trustee of UHNM Charity

Auditor's responsibilities for the audit of the financial statements

We have been appointed as auditor under section 144 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act.

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an Auditors' report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. We design procedures in line with our responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud. The extent to which our procedures are capable of detecting irregularities, including fraud is detailed below:

Our approach to identifying and assessing the risks of material misstatement in respect of irregularities, including fraud and non-compliance with laws and regulations, was as follows:

- *the senior statutory auditor ensured that the engagement team collectively had the appropriate competence, capabilities and skills to identify or recognise non-compliance with applicable laws and regulations;*
- *we identified the laws and regulations applicable to the charity through discussions with directors and other management, and from our commercial knowledge and experience of the sector;*
- *we focused on specific laws and regulations which we considered may have a direct material effect on the financial statements or the operations of the charity, including the financial reporting legislation, Charities Act 2011, taxation legislation, anti-bribery, employment, and environmental and health and safety legislation;*
- *we assessed the extent of compliance with the laws and regulations identified above through making enquiries of management and inspecting legal correspondence; and*
- *identified laws and regulations were communicated within the audit team regularly and the team remained alert to instances of non-compliance throughout the audit.*

We assessed the susceptibility of the charity's financial statements to material misstatement, including obtaining an understanding of how fraud might occur, by:

- *making enquiries of management as to where they considered there was susceptibility to fraud, their knowledge of actual, suspected and alleged fraud; and*
- *considering the internal controls in place to mitigate risks of fraud and non-compliance with laws and regulations.*

Independent Auditor's Report to the Corporate Trustee of UHNM Charity

To address the risk of fraud through management bias and override of controls, we:

- performed analytical procedures to identify any unusual or unexpected relationships;
- tested journal entries to identify unusual transactions;
- assessed whether judgements and assumptions made in determining the accounting estimates set out in Note 1 were indicative of potential bias; and
- investigated the rationale behind significant or unusual transactions.

In response to the risk of irregularities and non-compliance with laws and regulations, we designed procedures which included, but were not limited to:

- agreeing financial statement disclosures to underlying supporting documentation;
- reading the minutes of meetings of those charged with governance;
- enquiring of management as to actual and potential litigation and claims; and
- reviewing correspondence with HMRC, relevant regulators and the charity's legal advisors.

Because of the inherent limitations of an audit, there is a risk that we will not detect all irregularities, including those leading to a material misstatement in the financial statements or non-compliance with regulation. This risk increases the more that compliance with a law or regulation is removed from the events and transactions reflected in the financial statements, as we will be less likely to become aware of instances of non-compliance. The risk is also greater regarding irregularities occurring due to fraud rather than error, as fraud involves intentional concealment, forgery, collusion, omission or misrepresentation.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at: www.frc.org.uk/auditorsresponsibilities. This description forms part of our Auditors' report.

Use of our report

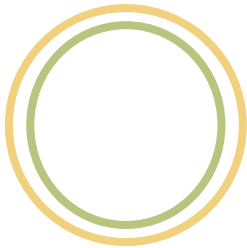
This report is made solely to the charity's trustees, as a body, in accordance with part 4 of the Charities (Accounts & Reports) Regulations 2008. Our audit work has been undertaken so that we might state to the charity's trustees those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and the charity's trustees as a body, for our audit work, for this report, or for the opinions we have formed.

Dains Audit Limited
Statutory Auditor



U·H·N·M
charity

Raising funds for Royal Stoke University Hospital and County Hospital, Stafford



Registered Name: University Hospitals of North Midlands
NHS Trust Charity (known as UHM Charity)
Registered Charity Number: 1054889

Registered Office: Springfield, Trust HQ, Newcastle Road,
Stoke-on-Trent, Staffordshire, ST4 6QG

Telephone: 01782 676444

Website: www.uhmcharity.org.uk

Facebook: UHMCharity

Twitter: UHMCharity

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