

U·H·N·M
charity



ANNUAL REVIEW AND FINANCIAL STATEMENTS 2019/2020

Registered Name: University Hospitals of North Midlands NHS Trust Charity (known as UHNM Charity)
Registered Charity Number: 1054889
Registered Office: Springfield, Trust HQ, Newcastle Road, Stoke-on-Trent, Staffordshire, ST4 6QG

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The following individuals served as Trustee Board (of Directors) during the year ended 31 March 2020



David Wakefield
Trust Board Chairman



Sonia Belfield
Non-Executive Director



Gary Crowe
Non-Executive Director



Andrew Hassell
Non-Executive Director



Peter Akid
Non-Executive Director



Leigh Griffin
Non-Executive Director/
Charitable Funds
Committee Chairman



Ian Smith
Non-Executive Director



Tracy Bullock
Chief Executive



John Oxtoby
Medical Director



Michelle Rhodes
Chief Nurse (From
September 2019)



Ro Vaughan
Director of Human
Resources



Mark Oldham
Chief Finance Officer
(From June 2019)



Paul Bytheway
Chief Operating Officer
(From July 2019)

Jonathan Tringham, Acting Chief Finance Officer (until May 2019)
Liz Rix, Chief Nurse – member of Charitable Funds Committee (until June 2019)

Charity Chairman Leigh Griffin



Welcome to our 2019/20 Annual Report and Accounts for the UHNM Charity.

This document records the activities and Financial Accounts for the financial year 1 April 2019 to 31 March 2020. The Trust is immensely grateful to the many generous and committed individuals, groups and local companies who have helped to raise funds. Donations range in size from a few pennies to over a hundred thousand pounds but all are underpinned by an overwhelming desire to care for our patients and our staff. On behalf of the Board, our patients, service users, carers and staff

we send our appreciation to everyone who has helped in any way to make this another successful year. These funds have supported a wide range of charitable and health related activities over the past year and have been used to support and enhance the experiences of our patients, service users, their carers and our staff. I hope that you enjoy reading about some of them and sharing in the incredible stories and differences made during the year.

Donations which are not used immediately are invested in accordance with the Charity Commission guidelines ensuring an appropriate financial return is achieved. The performance of the investments is reviewed regularly to ensure we protect the value of the portfolio until such time as they are needed to be spent.

As our financial year drew to a close, we saw the Covid-19 pandemic being declared. The NHS is now facing the greatest public health and operational challenge in its history. The response from our local communities and our staff has been inspirational and I look forward to sharing the many examples of people going above and beyond to support the work of our charity in helping our staff and our patients during this difficult time. As we moved into 2020/21, we were delighted to receive a fabulous commitment of £10M from the Denise Coates Foundation to help support the Trust, its staff and patients through the pandemic. This will be reported on fully in our 2020/21 report

As Chair of the Charitable Funds Committee, I offer my sincere thanks to everyone who has contributed so generously in time, energy and money to support the Fund. The Financial statements on Accounts Page 12 to 21 were approved by the Board of Directors on 13 January 2021 and signed on its behalf by David Wakefield, UHNM Chairman.

Leigh

Leigh Griffin
UHNM Charity Chairman



Review of the Year 2019/2020

The following is just a small selection of some of the many projects and initiatives UHNM Charity has been able to support during 2019/20 thanks to the generous donations and gifts from patients, their families and friends, local companies and organisations, and staff fundraisers.

County Hospital Chemotherapy Unit Garden



Funded by the UHNM Charity County Hospital Appeal, the Chemotherapy Unit Garden provides cancer patients and their relatives with a soothing and relaxing sanctuary away from the clinical environment helping to improve their mental health and wellbeing. Built at a cost of £191,000, the garden features covered seating, art installations and will also be used as a relaxing seated area for mealtime breaks.

Sky Inside Ceilings



The experience of both patients and staff in our Critical Care Unit and Radiotherapy Department was improved by the installation of a number of luminous 'Sky Ceilings'. The ceilings, funded by an £80,000 grant, simulate moving day and night time sky scenes providing natural light in areas of the units that have no windows. There is strong evidence to support that access to daylight and the day and night cycle reduces delirium, stress and anxiety.

Strollex Trolley Cars



Receiving treatment or attending a hospital appointment can be a daunting and anxious experience for children. The purchase of three Strollex Trolley Cars, made possible thanks to a £2,400 grant, means some of the fear factor has been taken away. Instead of using more standard clinical apparatus, younger patients booked in for surgery can now travel down to theatres in their choice of car, creating an exciting experience.

Thekla 3 Mobilisation Chair



The Acute Stroke Unit was able to purchase a Thekla 3 Mobilisation chair to aid the recovery of bedbound patients. The electrically operated chair, funded by a £10,000 grant, helps therapists mobilise the most complex and dependent patients by being able to function as a chair, tilt table and transfer surface. Staff called it the best bit of new equipment in a decade.

Red Bag Scheme



Care and residential home residents across Staffordshire and Stoke-on-Trent are now receiving better, more joined up care thanks to a £14,000 UHNM Charity-funded initiative. The Red Bag Scheme ensures personal belongings, medication and documentation are kept together and transferred smoothly between care home and hospital.

Rainbow Badge



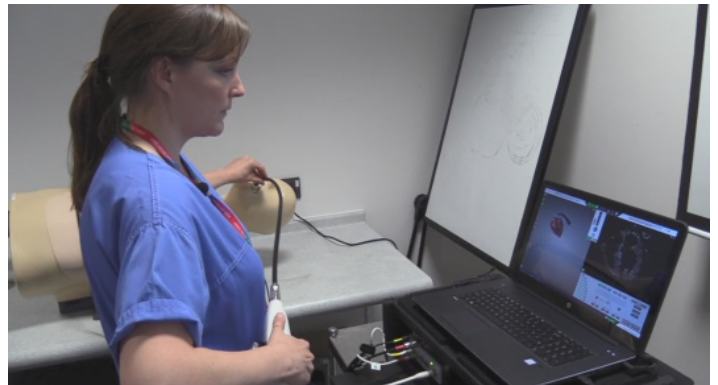
UHNM Charity was proud to support the Rainbow Badge scheme for UHNM staff to support LGBTQ+ patients. The badges promote a message of inclusion and are a sign that the member of staff wearing it is somebody the patient can talk to about issues of sexuality or gender identity. The badges were funded by a £345 grant.

vCreate Video Messaging



UHNM's Neonatal Intensive Care Unit (NICU) became the first in the West Midlands to launch a video messaging service to help reduce the anxiety of parents of premature and sick babies. The £9,600 'vCreate' messaging service allows staff working on the Unit to record and upload video diaries which parents and relatives can access when they are away from the hospital.

HeartWorks Echocardiography Simulator



An £85,000 grant from UHNM Charity is helping UHNM to meet the high demand for echo training. This enables staff to gain hands-on experience, better understand cardiac anatomy, practice positioning and better understand imagery.



Our Charity

UHNM Charity is the official NHS Charity for both hospitals operated by University Hospitals of North Midlands NHS Trust – County Hospital, Stafford, and the Royal Stoke University Hospital. As such, we touch the lives of everyone in the North Midlands and beyond, no matter their age or condition. Our wish is for our patients to receive the best care in the best possible environment.

Each year we invest in key areas such as equipment, research, patient and family support, staff training and transforming our two hospitals into more comfortable and welcoming environments. The core mission of the Charity is to enhance the care and treatment of patients accessing NHS services provided by UHNM, by supporting high priority areas which are beyond the scope of government funding. We also support our staff to enable them to provide the best care possible

The Charity's income comes from fundraising, donations, charitable grants, legacies and sponsorship. The Charity employs a team of professional fundraising staff. UHNM Charity organises events and supports many fundraisers organising their own events or taking part in organised events, both community and hospital-based throughout the year.

In addition to the UHNM Trust magazine, the main route of communication with donors is via our dedicated website (www.uhnmcharity.org.uk), and social media:



www.facebook.com/UHNMCharity



www.twitter.com/UHNMCharity

In all our communications, we seek to update supporters about the impact that donations have made, as well as letting people know about upcoming fundraising activities.

The fundraising team would be delighted to speak to anybody who is considering taking part in an event or organising their own fundraising for UHNM Charity, and can be contacted by email at fundraising@uhnm.nhs.uk or by telephone at 01782 676444.

We also encourage feedback from all of our supporters, sharing any learning amongst the Charity Team and where appropriate, Trustees.

In setting our objectives and planning our activities the Trustee Board has given serious consideration to meeting our public benefit commitment and to the enhancement of the care of patients through:

- Enhancing the quality of patient care;
- Improving the environment for patients, visitors and staff;
- Supporting NHS staff development to enable them to provide excellent clinical and patient-centred care;
- Providing funding for pioneering research with the potential to impact directly on the treatment and wellbeing of patients; and
- Funding state-of-the-art equipment that improves outcomes for patients.

To achieve these objectives, UHNM Charity operates a transparent grant making process. All UHNM NHS Trust staff, from across both hospitals, can apply for funding to provide additional equipment, facilities, staff training and research over and above what the NHS would normally fund. Our plans to deliver this funding include:

- Encouraging UHNM NHS Trust staff to submit funding applications and to identify new facilities, training, equipment that the Charity can provide. This has been achieved by Charity staff meeting with key staff and Fund Advisers and through the submission of charitable funds spending plans; and
- Raising income to provide new facilities, training and equipment by supporting patients, members of the public, UHNM NHS Trust staff and organisations in their fundraising.

In line with our investment policy, surplus monies not required to meet immediate commitments are placed in specialist charity investments to create additional income to achieve the charity objectives in the future.

The staff working for the Charity are employed by UHNM NHS Trust. UHNM Charity reimburses the NHS Trust for these costs.

Bankers and Professional Advisers

Bankers

Royal Bank of Scotland
Government Banking Cst
Po Box 2027
Parklands
De Havilland Way
Horwich
Bolton
BL6, 4YU

Auditors

Grant Thornton UK LLP
The Colmore Building
20 Colmore Circus
Birmingham
B4 6AT

Investment Advisers

CCLA Investment Management Ltd
80 Cheapside
London
EC2V 6DZ

Solicitors

Mills and Reeve
78-84 Colmore Row
Birmingham
B3 2AB



Meet the Friends and Family of Cobi Fitchford



The friends and family of Cobi Fitchford undertook a gruelling four-day, 132-mile walk from Porthmadog to Stoke-on-Trent. This raised over £8,500 for the Critical Care Unit at the Royal Stoke University Hospital, who cared for Cobi following a serious car crash in 2018. Cobi's cousin Daniel Bradbury, who organised the walk said: "The care Cobi received in Critical Care was amazing. The walk was by far the hardest thing any of us have ever done, but they're a cracking group, and it's been 100-per-cent worth it to raise funds for a brilliant cause."

Mr Golash's Adventure of a Lifetime



Urology Consultant Mr Anurag Golash took UHNH Charity to new heights by successfully tackling Mt Kilimanjaro to raise over £2,500 for UHNH Charity and improve the experience of patients in the Urology Ambulatory Unit. Mr Golash, who along with his family, spent a week scaling Africa's highest point said: "At times it was absolutely beautiful, the sunrise and sunsets for example, whilst at other times it was so hard. I'm so glad I had UHNH Charity to motivate me. The flag in my bag was a reminder as to why we were all there."

Stafford Rugby Club Fundraising Season



Stafford Rugby Club donated £13,000 to the Kidney Unit at the Royal Stoke University Hospital after a season of fundraising. The Club held a variety of fundraising events throughout the year including quiz nights, a New Year's Eve auction and a sponsored bike ride and marathon to raise the funds which will go towards new equipment and patient comforts. Organiser and Vice-president Jennifer Walklate said: "Following my mum being diagnosed with acute kidney failure, she was looked after amazingly and we wanted to give something back."

Ward 7 Scale New Heights



A team of doctors, nurses and healthcare professionals from Ward 7 at County Hospital climbed the highest mountain in Wales to raise funds for UHNH Charity and redecorate a patient waiting area. On one of the hottest days of the year, the team led by Ward Manager Paul Combes reached the summit. They raised over £1,200 to turn a side room on the ward into a relaxation area for patients and their families away from the clinical ward setting. Paul said: "The aim is to create an escape from the clinical setting and also boost patient's mobility and their recovery."

Meet UHNM's Rambling Radiographers



Members of UHNM's Radiotherapy Department raised £4,560 to upgrade their equipment by completing the gruelling Yorkshire Three Peaks Challenge. The team conquered the 24-mile trek of Pen-y-Ghent, Wharfedale and Ingleborough all within the 12-hour time limit. Keren Barker, Radiotherapy Advanced Practitioner said: "Being with our group of colleagues was fabulous, we were chatting the whole way and despite being a tough challenge it was really enjoyable. We received lots of messages of support from family, friends and colleagues which helped motivate us."

Iron Market Group's Charity Golf Day



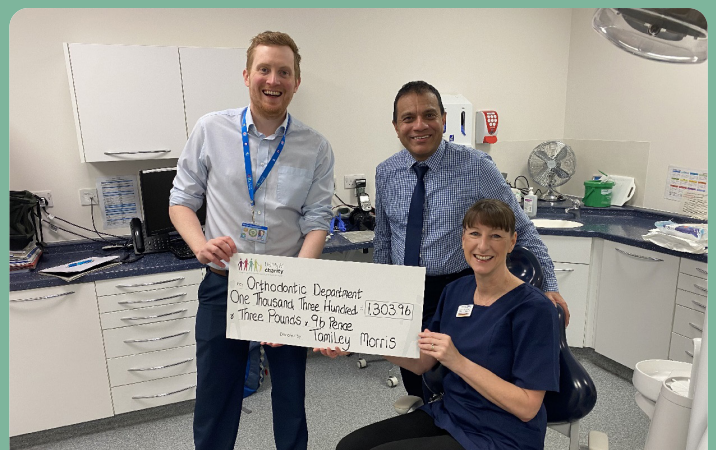
The second fundraising event organised by financial products company Iron Market and its founder Wes Wilkes, raised almost £11,000 for cancer services at UHNM. The JCB Golf and Country Club was the setting for 56 golfers to take part in a fundraising tournament and auction. Wes, who lost his father to Acute Myeloid Leukaemia in 2014 said: "Me and my family can't ever thank them enough for the care and love they showed my father and more recently when Nell, my two-year-old daughter, was very poorly, too."

Intrepid Andy Hops to Raise Funds



An intrepid fundraiser completed a 300-mile adventure for UHNM Charity using only his bus pass and a space hopper for company whilst raising almost £2,500 along the way. Andy Cole used his concessionary bus pass to travel from the UK's furthest inland point to Land's End in Cornwall over five days to raise funds for the Cystic Fibrosis Team at the Royal Stoke University Hospital. Andy said: "I was inspired to do this by my eldest Granddaughter Isabelle who has Cystic Fibrosis. She endures her condition with such a positive attitude."

Orthodontic Tamiley's Twelve-hour Trek



Orthodontic Therapist Tamiley Morris completed a physically demanding 12-hour, 50k trek across the Peak District to raise funds for UHNM Charity towards a new digital scanner. Tamiley raised over £1,300 by racing against the clock across the National Park's harshest environments all in torrential autumnal rain. She said: "I started at 7am and finished at 7pm, trekking through all seasons and conditions via three checkpoints. The work and training I put into doing this challenge was not only worth it but really enjoyable."

Trustees' Report

The Trustee Board is pleased to present the University Hospitals of North Midlands Charity (UHNM Charity) Annual Report together with the Audited Financial Statements for the year ended 31 March 2020. These accounts have been prepared in accordance with the Charities Act 2011 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102).

Legal Structure

University Hospitals of North Midlands NHS Trust is the corporate trustee of funds held on trust under powers given to it by s212 to s222 of the National Health Service Act 2006. The funds held on trust are registered as University Hospitals of North Midlands Charity with the Charity Commission under registration number 1054889.

Management of the Charity

The Trust Board of University Hospitals of North Midlands NHS Trust (the Trust) is the Corporate Trustee of UHNM Charity. All voting Executive and Non-Executive Directors of University Hospitals of North Midlands NHS Trust take decisions collectively as agents of the Trustee and receive training through Board Development sessions.

The directors of the Corporate Trustee are therefore not themselves Trustees even though they are sometimes referred to internally as the Trustees. The Charity is separate from the Trust and independent of it, but it aims to work closely with the Trust in order to achieve its charitable objectives. The Corporate Trustee delegates certain responsibilities to agents and/or employees but always retains the ultimate responsibility for the management of the Charity.

The Trustee Board has established a Charitable Funds Committee to oversee the Charity's operation on its behalf. The Committee scrutinises and constructively challenges the Charity's operations and financial information and systems of control, including the Annual Accounts, to provide assurance to the Trustee Board that the administration of charitable funds is distinct from its exchequer funds and compliant with legislation and Charity objectives.

The Charitable Funds Committee meets regularly and reports to the Board, which approves major decisions and remains responsible for the activities of the Charity. The day-to-day operations of the Charity are overseen by the Trust Director of Communications and Charity.

Objects

The objects of the charity are to hold the trust fund upon trust to apply the income, and at their discretion, so far as may be permissible, the capital for any charitable purpose or purposes relating to the National Health Service wholly or mainly for the service provided by University Hospitals of North Midlands NHS Trust.

The funds of the Charity arise from fundraising, donations and legacies together with the returns on investments. The funds are used to enhance the care of patients through the provision of new or enhanced facilities, state of the art equipment, and innovative medical research, and by investing in skilled staff through welfare, learning and development, in all cases, over and above core NHS provision.

Investment Policy

Surplus monies not required to meet immediate commitments are currently invested in COIF Charity Funds, which are common investment and deposit funds established under section 96 of the Charities Act 2011. The administrative functions for the COIF Charity Funds have been delegated to CCLA, whose ethical and social investment policies support the UHNM Charity's own investment policy. The portfolio has performed in line with the overall stock market conditions during the financial year with performance continually monitored by the Charitable Funds Committee.

Reserves Policy

The Trustee Board has agreed a Reserves Policy to ensure that donations are spent within a reasonable period from receipt whilst also protecting the financial viability of the fund. The agreed minimum level of reserves is a balance sufficient to cover normalised expenditure for three years and therefore protects the spending plans of the charity against falls in fundraising income, legacies and investment values. Covering running costs in this way gives the Charitable Funds Committee a reasonable timescale to ensure that appropriate management action can be taken to consider alternative funding sources and marketing strategies to improve its position if necessary. It also allows sufficient time for the charity to process on-going commitments and to meet the cost of closure or transfer of the charity's affairs should the need ever arise.

As at 31 March 2020 UHNM had unrestricted reserves of £5.3million which exceeds the amount needed to cover the agreed three years operational and governance costs. The Trustee Board is committed to reducing the excess over the next three years in a way which gives optimum benefit of patients. The charity team is working with fund advisors on spending plans for their particular clinical areas that are in line with both charitable and Trust objectives. The Trust is currently in the process of developing its clinical services strategy which once completed will help signpost the areas where charitable investment might give the best long term benefits to patients. The Trustee Board is also seeking proposals for some potentially higher cost schemes associated with developing specialist services such as cancer and childrens.

Risk Policy

The major risks to which the Charity could be exposed have been identified and considered and systems developed to minimise those risks. The most significant risks have been identified as:

1. Losses from a fall in the value of the investments due to volatility in world markets; and
2. Fraud

The Committee is satisfied that the Charity's Investment Policy together with robust financial procedures including the regular monitoring of investments minimises the investment risks. Fraud is controlled by robust financial policies and procedures adopted by the Corporate Trustee.

Expenditure Approval Policy

As a grant making charity, each application is considered on its own merits for appropriateness against the Charity and any specific fund objectives, and it must comply with the Charity Commission funding criteria and UHNM Charity Policy. All grant applications are subject to approval by the NHS Trust (to confirm they can benefit from the grant and meet any on-going liabilities) and the Corporate Trustee Board or its appointed officers. All research grants are only awarded if approved by the Ethics Committee and results must be made available within the public domain.

Statement of Trustee's Responsibility

Under charity law, the Trustee is responsible for preparing the Trustee's Report and financial statements for each financial year which show a true and fair view of the state of affairs of the charity and of the charity's financial position for the period. In preparing these financial statements, generally accepted accounting practice entails that the Trustee:

- selects suitable accounting policies and then applies them consistently;
- makes judgements and estimates that are reasonable and prudent;
- states whether the financial statements comply with applicable accounting standards and statements of recommended practice, subject to any material departures disclosed and explained in the financial statements;
- prepares the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue its activities.

The Trustee is required to act in accordance with the trust deed of the charity, within the framework of trust law. It is responsible for keeping proper accounting records, sufficient to disclose at any time, with reasonable accuracy, the financial position of the charity at that time, and to enable the Trustee to ensure that, where any statements of accounts are prepared by it under s132(1) of the Charities Act 2011, those statements of accounts comply with the requirements of the regulations under that provision. It has general responsibility for taking such steps as are reasonably open to it to safeguard the assets of the charity and to prevent and detect fraud and other irregularities.

The Trustee is responsible for the maintenance and integrity of the financial and other information included on the charity's website. Legislation in the UK governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

The Trustee confirms that it has met the responsibilities set out above and complied with the requirements for preparing the Trustee's Report and the financial statements. The financial statements set out in this document have been compiled from and are in accordance with the financial records maintained by the Trustee.

In exercising its powers and responsibilities the Trustee has complied with its duty to have due regard to the Guidance on Public Benefit published by the Charity Commission.

Related Party Transactions

University Hospitals of North Midlands NHS Trust is a related party as it is both the Trustee and the recipient of grants made by the charity. Note 1.11 of the Accounts discloses information about any related party transactions.

STATEMENT OF FINANCIAL ACTIVITIES

Statement of Financial Activities (Including Income and Expenditure Account) for University Hospitals of North Midlands Charity for the Year Ended 31 March 2020

Statement of Financial Activities (including Income & Expenditure Account)
For University Hospitals of North Midlands Charity for the Year Ended 31 March 2020

		Unrestricted	Unrestricted		Restricted	Restricted		Total		Total
	Note	Funds 2020	Funds 2019		Funds 2020	Funds 2019		Funds 2020		Funds 2019
Income from:	2	£000	£000		£000	£000		£000		£000
Donations	2.1	524	380		15	9		539		389
Legacies	2.2	229	916		64	87		293		1,003
Other trading activities	2.3	243	238		6	7		249		245
Investments	2.4	192	187		30	30		222		217
Total Income		1,188	1,721		115	133		1,303		1,854
Expenditure on:	3									
Charitable activities	3.1	1,463	1,056		359	329		1,822		1,385
Raising funds	3.2	66	98		8	10		74		108
Other		0	0		0	0		0		0
Total expenditure	3.3	1,529	1,154		367	339		1,896		1,493
Net gains/(losses) on investments	4	(166)	383		(26)	61		(192)		444
Net Income/ (Expenditure)		(507)	950		(278)	(145)		(785)		805
Transfers between funds	9	-	-		-	-		-		-
Net movement in Funds		(507)	950		(278)	(145)		(785)		805
Reconciliation of funds:										
Total funds Brought forward		5,813	4,863		921	1066		6,734		5,929
Total funds carried forward		5,306	5,813		643	921		5,949		6,734

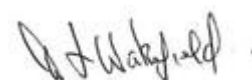
The statement of financial activities includes all gains and losses recognised in the year.
All income and expenditure derives from continuing activities.

Balance Sheet for University Hospitals of North Midlands Charity as at 31 March 2020

Balance Sheet

For University Hospitals of North Midlands Charity as at 31 March 2020

		Unrestricted Funds	Restricted Funds	Total Funds 31-Mar-20	Total Funds 31-Mar-19
		£000	£000	£000	£000
	Note				
Non-current assets					
Investments	6	5,244	641	5,885	6,077
Total non-current assets		5,244	641	5,885	6,077
Current assets	7				
Debtors	7.1	116	64	180	174
Cash at bank and in hand	7.2	920	264	1,184	1,466
Total current assets		1,036	328	1,364	1,640
Liabilities	8				
Creditors falling due within one year	8.1	(938)	(326)	(1,264)	(908)
Net current assets		98	2	100	732
Total assets less current liabilities		5,342	643	5,985	6,809
Creditors:					
Amounts falling due after more than one year	8.2	(36)	-	(36)	(75)
Net assets		5,306	643	5,949	6,734
Funds of the Charity	9				
Restricted income funds		-	643	643	921
Unrestricted income funds		5,306	-	5,306	5,813
Total Funds		5,306	643	5,949	6,734

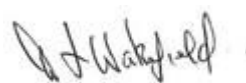


Chairman
Date: 13 January 2021

Cash flow statement for the year ended 31 March 2020

Cash Flow Statement for the year ended 31 March 2020

	2019/20	2018/19
	Total funds £000	Total funds £000
Reconciliation of net income (expenditure) to net cash flow from operating activities		
Net income (expenditure) for the reporting period (as per the Statement of Financial Activities)	(785)	805
Adjustments for:		
(Gains)/losses on investments (Note 4)	192	(444)
Interest from Investments	222	217
(Increase) decrease in debtors	(6)	20
Increase (decrease) in creditors	317	(595)
Net cash provided by (used in) Operating activities	(60)	3
Cash flows from operating activities:		
Net cash provided by (used in) operating activities	(60)	3
Cash flows from investing activities:		
Purchase of investments (Note 6)	0	0
Interest from Investments (Note 2.5)	(222)	(217)
Proceeds from sale of investments (Note 6)	0	0
Net cash provided by (used in) investing activities	(222)	(217)
Increase/(decrease) in cash and cash equivalents in the reporting period	(282)	(214)
Cash and cash equivalents at 1 April	1,466	1,680
Cash and cash equivalents at 31 March	1,184	1,466
Analysis of cash and cash equivalents:		
Cash in hand	1,184	1,466
Cash on deposit	0	0
Total cash and cash equivalents	1,184	1,466



Chairman
Date: 13 January 2021

Notes to the Financial Statements

University Hospitals of North Midlands NHS Trust Charitable fund for the year ended 31 March 2020

Notes to the Financial Statements

1 Accounting Policies

1.1 Basis of preparation

UHNM Charity has prepared its financial statements that comply with SORP 2019 (FRS102) for the year ended 31 March 2020. The charity constitutes a public benefit entity as defined by FRS102. The accounts (financial statements) have been prepared in accordance with the Statement of Recommended Practice: Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102), and the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102) and the Charities Act 2011 and UK Generally Accepted Practice as it applies from January 2019.

1.2 Income recognition

All incoming resources are included in full in the Statement of Financial Activities as soon as the following three factors can be met:

- i) entitlement - arises when a particular resource is receivable or the charity's right becomes legally enforceable;
- ii) probable - it is more likely than not that the economic benefits associated with the transaction or gift will flow to the charity
- iii) measurement - when the monetary value of the incoming resource can be measured with sufficient reliability

1.3 Legacies

Legacies are accounted for as incoming resources once the receipt of the legacy becomes probable. This will be once confirmation has been received from the representatives of the estates that payment of the legacy will be made or property transferred and once all conditions attached to the legacy have been fulfilled.

1.4 Expenditure recognition

The funds held on trust accounts are prepared in accordance with the accruals concept. All expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party.

a) Cost of raising funds

The cost of generating funds are the costs associated with generating income for the funds held on trust.

b) Grants payable

Grants payable are payments, made to third parties (including NHS bodies) in the furtherance of the funds held on trust's charitable objectives to relieve those who are sick. They are accounted for on an accruals basis where the conditions for their payment have been met or where a third party has a reasonable expectation that they will receive the grant. This includes grants paid to NHS bodies and relevant allocation of support costs. The majority of grant payments made by the charity have been made to University Hospitals of North Midlands NHS Trust.

c) Governance costs

These are accounted for on an accruals basis and are recharges of appropriate proportions of costs from University Hospitals of North Midlands NHS Trust.

1.5 Structure of funds

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified in the accounts as a restricted fund. Funds where the capital is held to generate income for charitable purposes and cannot itself be spent are accounted for as endowment funds. Other funds are classified as unrestricted funds. Funds which are not legally restricted but which the Trustees have chosen to earmark for set purposes are classified as designated funds. The

University Hospitals of North Midlands Charity for the year ended 31 March 2020
Notes to the Financial Statements

- 1.6 Investment Assets**
Investment assets are shown at market value. Due to the Covid-19 pandemic there was a sharp fall in the value of investments in the first quarter of 2020, this decline was largely recovered in the second quarter. The value of investments going forward remains uncertain due to the risk of a second wave of infections.
- 1.7 Realised gains and losses**
All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening market value (or date of purchase if later). Unrealised gains and losses are calculated as the difference between market value at the year end and opening market value (or date of purchase if later).
- 1.8 Change in the Basis of Accounting**
There has been no change in the basis of accounting during the year.
- 1.9 Prior Year Adjustments**
There has been no change to the accounts of prior years.
- 1.10 Pooling Scheme**
An official pooling scheme is operated for investments held by the Charity. The scheme was registered with the Charity Commission on 31st March 1998.
- 1.11 Related party transactions**
During the year none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the University Hospitals of North Midlands NHS Trust outside their role as employees of the Trust.
During the year none of the Trustees has received any further remuneration or reimbursement of expenses for the provision of their services as a Trustee of the Charitable Fund outside their role as employees of the Trust.
The charitable trust has made revenue and capital payments to the University Hospitals of North Midlands NHS Trust where the Trustees are also members of the Trust Board.
In year these payments amount to £1,626,543 (2018/19: £1,425,806). Outstanding at the year end was a balance of £562,070 (2018/19: £432,256) of which £564,139 is included within creditors falling due within one year, with the remaining £2,068 included in debtors.
- 1.12 Commitments**
Applications for expenditure are treated as commitments as soon as the necessary approval is granted and recipients have received confirmation by the year end in order to create a constructive obligation. The Trustees of these funds will endeavour to encourage spending plans within the forthcoming year.
- 1.13 Going concern**
The financial statements have been prepared on a going concern basis as the trustees believe that no material uncertainties exist.
- 1.14 Post Balance Sheet Events**
UHNM Charity has been awarded a grant of up to £10m from the Denise Coates Foundation to support staff and patients during the ongoing Covid-19 pandemic. The funds will be drawdown to deliver a number of schemes.

University Hospitals of North Midlands Charity for the year ended 31 March 2020
Notes to the Financial Statements

2 Income
2.1 Donations

	2020			2019		
	Unrestricted d £	Restricted £	Total £	Unrestricted d £	Restricted £	Total £
In memory of donations	184,465	8,491	192,956	177,726	4,709	182,435
Recognition of Care donations	65,015	5,000	70,015	44,609	1,500	46,109
Collections	13,801	-	13,801	10,461	-	10,461
Breast Care for Magseed	94,700	-	94,700	-	-	-
Teenage Cancer donation	-	-	-	13,551	-	13,551
Other specific donation funds	70,000	-	70,000	43,262	-	43,262
Other donations	96,943	1,399	98,342	90,730	2,955	93,685
Total donations	524,924	14,890	539,814	380,339	9,164	389,503

2.2 Legacies

	2020			2019		
	Unrestricted d £	Restricted £	Total £	Unrestricted d £	Restricted £	Total £
Legacies	229,010	64,314	293,324	916,091	87,229	1,003,320
Total for the year	229,010	64,314	293,324	916,091	87,229	1,003,320

2.3 Income from other trading activities

	2020			2019		
	Unrestricted d £	Restricted £	Total £	Unrestricted d £	Restricted £	Total £
Charity events and fundraising	242,155	5,818	247,973	237,878	7,374	245,252
Total for year	242,155	5,818	247,973	237,878	7,374	245,252

Income from other trading activities comprises of funds raised through various sporting and other fundraising events.

2.4 Investment income

	2020			2019		
	Unrestricted d £	Restricted £	Total £	Unrestricted d £	Restricted £	Total £
Income from investments was received as below	183,910	29,193	213,103	179,747	28,473	208,220
Income from other investments	8,079	1,282	9,361	7,320	1,160	8,480
Total for year	191,989	30,475	222,464	187,067	29,633	216,700



University Hospitals of North Midlands Charity for the year ended 31 March 2020
Notes to the Financial Statements

3 Expenditure

3.1 Charitable activities

	2020			2019		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	d £	£	£	d £	£	£
Patient welfare and amenities	519,009	8,590	527,599	162,490	14,702	177,192
Staff welfare and amenities	95,693	-	95,693	65,672	9,378	75,050
Research	23,805	-	23,805	26,473	-	26,473
Enhancement of NHS care	669,276	326,516	995,792	630,068	276,192	906,260
Support costs	154,734	24,562	179,296	171,317	29,203	200,520
Other	-	-	-	474	-	474
	1,462,517	359,668	1,822,185	1,056,494	329,475	1,385,969

3.2 Raising Funds

Fundraising Trading costs	17,451	65	17,516	68,985	4,511	73,496
Support costs	48,604	7,715	56,319	29,203	5,142	34,345
	66,055	7,780	73,835	98,188	9,653	107,841

3.3 Total expenditure

	1,528,572	367,448	1,896,020	1,154,682	339,128	1,493,810
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Included in patient welfare and amenities is £87,542 spend on iPad's and £67,047 spend on Sky Inside screens.
Included in enhancement of NHS care is the purchase & maintenance of advanced medical equipment, improvements to computer systems and the furtherance of staff education. During the year £951,448 was granted to University Hospitals of North Midlands NHS Trust in respect of the purchase of medical equipment and the capital projects.
Included in Support costs are external audit fees totalling £11,994 including VAT (£11,994 in 2018/2019).

The charity has no direct employees. Staff involved in the administration of the charity are employees of the University Hospitals of North Midlands NHS Trust. A recharge is made by the Trust to the charity to reflect the cost of administering the charity. Staff costs for the period amounted to £157,424 (£146,399 in 2018/19) and are apportioned between expenditure on raising funds and charitable activities.

4 Net gains/(losses) on investments

	2020 £	2019 £
Net realised gains for the year	-	-
Unrealised gains on Investment assets	(192,445)	444,491
Net unrealised gains for the year	(192,445)	444,491

In line with FRS102 net gains/losses on investments are now shown before striking a total for net income/(expenditure). Due to the Covid-19 pandemic there was a sharp fall in the value of investments in the first quarter of 2020, this decline was largely recovered in the second quarter. The value of investments going forward remains uncertain due to

5 Net income/(expenditure) for the year

This is stated after charging:

	2020 £	2019 £
Auditor's remuneration:		
Audit fees	11,994	11,994

University Hospitals of North Midlands NHS Trust Charitable fund for the year ended 31 March 2020
Notes to the Financial Statements

6 Analysis of Investments

	2020 £	2019 £
Fixed Asset Investments:		
Opening Market value 1 April	6,077,409	5,632,909
Less: Disposals at carrying value	-	-
Add: Acquisitions at cost	-	-
Net gain on revaluation	(192,445)	444,500
Closing Market value at 31 March	5,884,964	6,077,409

The historical cost of the investments held was £4,644,949 (£4,644,949 in 2018/19) and the market value as at 31 March 2020 was £5,884,964 (£6,077,400 in 2018/19).

At 31st March 2020 the mid market value of one Charities Investment Fund Income unit was 1,465.64 pence, 1,534.22 pence as at 31st March 2019.

7 Current assets

7.1 Debtors (falling due within one year)

	2020 £	2019 £
Interest including bank interest	54,938	55,180
Tax credits	1,294	2,788
Debtors	124,010	115,836
Total	180,242	173,804

7.2 Cash at Bank and in hand

	2020 £	2019 £
Cash held at Royal Bank of Scotland	1,184,425	1,466,063

8 Liabilities

8.1 Creditors (falling due within one year)

	2020 £	2019 £
Creditors	1,251,621	866,346
Accruals	12,594	41,964
	1,264,215	908,310

Creditors include the current committed expenditure of the funds amounting to £695,712 (£495,411 in 2018-19)

8.2 Creditors (falling due after more than one year)

	2020 £	2019 £
Creditors (maintenance charges)	35,908	74,834

9 Funds

Designated funds

The income funds of the charity include designated funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the University Hospitals of North Midlands NHS Trust.

The table below shows all funds with a closing balance of greater than £25,000.

Fund	Balance 01-Apr 2019 £000	Incoming Resources £000	Resources Expended £000	Transfers between funds £000	Gains and losses £000	Balance 31-Mar 2020 £000
UHNM	991	113	(326)	-	(25)	753
Cancer Centre Fund	713	281	(173)	-	(21)	800
UHNM Critical Care Fund	267	105	(104)	-	(8)	260
County Fundraising Appeal	153	27	(13)	-	(6)	161
UHNM Breast Care Fund	84	118	(139)	-	(3)	60
Cancer Research	31	1	(1)	-	(1)	30
UHNM Heart Fund	249	48	(11)	-	(8)	278
Cystic Fibrosis	58	19	(7)	-	(2)	68
UHNM Children's Centre & Services	243	44	(90)	-	(7)	190
Respiratory Fund	191	20	(37)	26	(6)	194
UHNM Gynaecology Fund	63	2	(33)	5	(2)	35
UHNM Obstetric Fund	109	14	(23)	-	(3)	97
Neonatal Intensive Care Unit	238	34	(21)	-	(7)	244
UHNM Neurosciences IP Fund	57	14	(14)	-	(2)	55
UHNM Trauma and Orthopaedic Fund	77	18	(15)	-	(2)	78
Renal Fund	154	43	(8)	-	(5)	184
Imaging Fund	157	7	(8)	18	(5)	169
UHNM Research and Development Fund	163	10	(6)	-	(5)	162
UHNM Fresh Hair Fund	167	12	(29)	-	(4)	146
General Cancer Research Fund	263	9	(9)	-	(7)	256
County General Fund	448	16	(311)	(1)	(11)	141
County Cancer & Haematology Fund	125	17	(36)	-	(3)	103
Emergency Care Centre	27	9	(12)	4	(1)	27
UHNM Stroke & AU Fund	51	19	(2)	-	(2)	66
Art for Life	34	1	(1)	-	(1)	33
Eye Fund	40	2	(5)	-	(1)	36
Colorectal Fund	26	23	(10)	11	(1)	49
Elderly Care Fund	26	10	(6)	12	(1)	41
Pot luck patient benefit fund	36	14	(2)	-	(1)	47
General Medical Fund	26	3	(1)	13	(2)	39
RSUH General Fund	38	2	(1)	-	(1)	38
Vascular	9	6	(3)	28	(1)	39
Urology	32	5	(2)	25	(1)	59
Gastro & Endoscopy Fund	34	6	(6)	7	(1)	40
UHNM Diabetes Fund	11	55	(1)	-	(1)	64
All other designated funds (75)	422	60	(62)	(148)	(8)	264
Total Funds	5,813	1,187	(1,528)	-	(166)	5,306

The number of funds remaining at 31st March 2020 was 110 (103 at 31 March 2019).

Restricted Funds

The income funds of the charity include restricted funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for specific purposes.

The table below shows all funds with a closing balance of greater than £25,000.

	Balance 01-Apr 2019 £000	Incoming Resources £000	Resources Expended £000	Transfers between funds £000	Gains and losses £000	Balance 31-Mar 2020 £000
North Staffs Heart Committee	796	112	(362)	-	(23)	523
Chemotherapy Legacy	93	3	(3)	-	(2)	91
All other restricted funds (3)	32	1	(3)	-	(1)	29
	921	116	(368)	-	(26)	643

The number of funds remaining at 31st March 2020 was 5 (7 at 31 March 2019).

University Hospitals of North Midlands NHS Trust Charitable fund for the year ended 31 March 2020
Notes to the Financial Statements

10 Commitments

The funds have the following commitments:

	Total 2020 £	Total 2019 £
Charitable projects	329,253	93,590
Charitable projects over one year	35,908	74,834
Capital	330,551	326,987
	695,712	495,411

The trustees recognise liabilities in the accounts once they have incurred either a legal or constructive obligation to spend the funds. Commitments of £695,712 have been included in this set of accounts as part of Creditors, refer to notes 8.1 and 8.2.

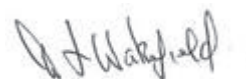
Applications for expenditure are accounted for on an accruals basis. A commitment is created where approval is granted and a constructive obligation has been created. All of the commitments in both 2019/2020 and 2018/19 relate to grants payable.

In previous years the Charity has made a commitment to fund the on-going maintenance for equipment that it has funded through grant payments. From 1 April 2017 the Charity will no longer fund the on-going maintenance for new equipment purchased from grant payments.

11 Five year summary of Income and Expenditure
Financial year

	2016 £000	2017 £000	2018 £000	2019 £000	2020 £000
Total Incoming Resources	1,841	2,069	1,300	1,854	1,303
Total Resources Expended	(2,134)	(1,370)	(1,578)	(1,493)	(1,896)
Gains/(losses) on Investment assets	(187)	661	116	444	(192)
Net movement in funds	(480)	1,360	(162)	805	(785)

The date of approval of the accounts by the Trust Board was 13th January 2021



Independent auditor's report to the corporate trustee of UHNM Charity

Independent auditor's report to the corporate trustee of University Hospitals of North Midlands Charity

Opinion

We have audited the financial statements of University Hospitals of North Midlands Charity (the 'charity') for the year ended 31 March 2020, which comprise Statement of Financial Activities, the Balance Sheet, the Cash Flow Statement and notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102; The Financial Reporting Standard applicable in the UK and Republic of Ireland (United Kingdom Generally Accepted Accounting Practice).

In our opinion, the financial statements:

- give a true and fair view of the state of the charity's affairs as at 31 March 2020 and of its incoming resources and application of resources for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of the Charities Act 2011.

Basis for opinion

We have been appointed as auditor under section 144 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act. We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the 'Auditor's responsibilities for the audit of the financial statements' section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

The impact of macro-economic uncertainties on our audit

Our audit of the financial statements requires us to obtain an understanding of all relevant uncertainties, including those arising as a consequence of the effects of macro-economic uncertainties such as Covid-19 and Brexit. All audits assess and challenge the reasonableness of estimates made by the directors and the related disclosures and the appropriateness of the going concern basis of preparation of the financial statements. All of these depend on assessments of the future economic environment and the company's future prospects and performance.

Covid-19 and Brexit are amongst the most significant economic events currently faced by the UK, and at the date of this report their effects are subject to unprecedented levels of uncertainty, with the full range

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of possible outcomes and their impacts unknown. We applied a standardised firm-wide approach in response to these uncertainties when assessing the company's future prospects and performance. However, no audit should be expected to predict the unknowable factors or all possible future implications for a company associated with these particular events.

Conclusions relating to going concern

We have nothing to report in respect of the following matters in relation to which the ISAs (UK) require us to report to you where:

- the trustees' use of the going concern basis of accounting in the preparation of the financial statements is not appropriate; or
- the trustees have not disclosed in the financial statements any identified material uncertainties that may cast significant doubt about the charity's ability to continue to adopt the going concern basis of accounting for a period of at least twelve months from the date when the financial statements are authorised for issue.

In our evaluation of the trustees' conclusions, we considered the risks associated with the charity's business, including effects arising from Brexit, and analysed how those risks might affect the charity's financial resources or ability to continue operations over the period of at least twelve months from the date when the financial statements are authorised for issue. In accordance with the above, we have nothing to report in these respects.

However, as we cannot predict all future events or conditions and as subsequent events may result in outcomes that are inconsistent with judgements that were reasonable at the time they were made, the absence of reference to a material uncertainty in this auditor's report is not a guarantee that the charity will continue in operation.

Other information

The trustees are responsible for the other information. The other information comprises the information included in the Trustees' Annual Report, other than the financial statements and our auditor's report thereon. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon. In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters where the Charities Act 2011 requires us to report to you if, in our opinion:

- the information given in the Trustees' Annual Report is inconsistent in any material respect with the financial statements; or

- the charity has not kept sufficient accounting records; or
- the financial statements are not in agreement with the accounting records and returns; or
- we have not received all the information and explanations we require for our audit.

Responsibilities of trustees for the financial statements

As explained more fully in the Trustees' Responsibilities Statement set out on page 11, the trustees are responsible for the preparation of the financial statements which give a true and fair view, and for such internal control as the trustees determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustees are responsible for assessing the charity's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the trustees either intend to liquidate the charity or to cease operations, or have no realistic alternative but to do so.

Auditor's responsibilities for the audit of the financial statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at: www.frc.org.uk/auditorsresponsibilities. This description forms part of our auditor's report.

Use of our report

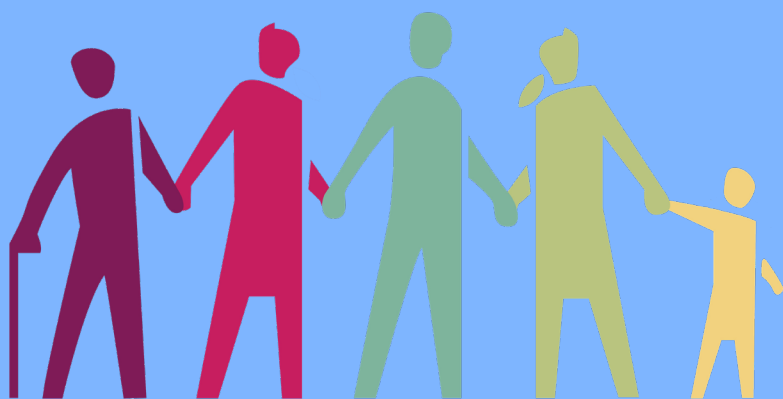
This report is made solely to the charity's trustees, as a body, in accordance with Section 154 of the Charities Act 2011. Our audit work has been undertaken so that we might state to the charity's trustees those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and its trustees as a body, for our audit work, for this report, or for the opinions we have formed.

Grant Thornton UK LLP

Grant Thornton UK LLP
Statutory Auditor, Chartered Accountants
Birmingham
26 January 2021

Grant Thornton UK LLP is eligible to act as an auditor in terms of section 1212 of the Companies Act 2006

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U·H·N·M
charity

Registered Name: University Hospitals of North Midlands NHS Trust Charity (known as UHNM Charity)
Registered Charity Number: 1054889
Registered Office: Springfield, Trust HQ, Newcastle Road, Stoke-on-Trent, Staffordshire, ST4 6QG
Website: www.uhnmcharity.org.uk
Facebook: UHNMCharity
Twitter: @UHNMCharity